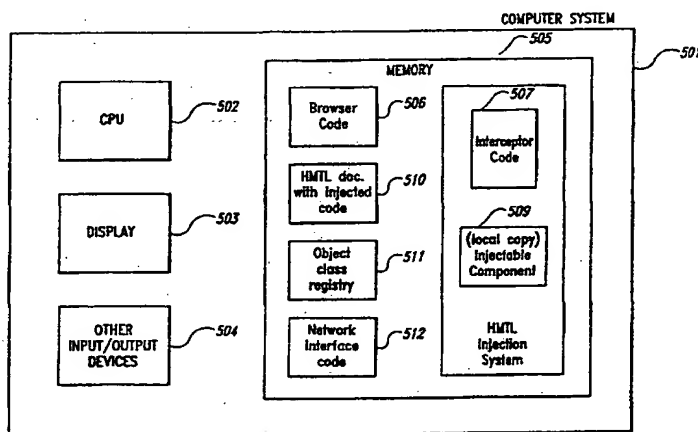




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G06F 17/30</b>		<b>A1</b>	(11) International Publication Number: <b>WO 98/45793</b>
			(43) International Publication Date: 15 October 1998 (15.10.98)
(21) International Application Number: PCT/US98/07096 (22) International Filing Date: 8 April 1998 (08.04.98) (30) Priority Data: 08/827,691                      8 April 1997 (08.04.97)                      US (71) Applicant: TECHWAVE, INC. [US/US]; Suite 920, 720 Olive Way, Seattle, WA 98101 (US). (72) Inventor: GUTHRIE, John; Apartment D333, 11513 Stone Avenue North, Seattle, WA 98113 (US). (74) Agents: PIRIO, Maurice, J. et al.; Seed and Berry LLP, 6300 Columbia Center, 701 Fifth Avenue, Seattle, WA 98104-7092 (US).		(81) Designated States: AL, AM, AT, AU, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>	

(54) Title: METHOD AND SYSTEM FOR INJECTING CODE TO CONDITIONALLY INCORPORATE A USER INTERFACE COMPONENT IN AN HTML DOCUMENT



## (57) Abstract

A method and system for injecting code into an existing HTML document that enables the conditional generation and incorporation of an injectable component is provided. The injection mechanism provided enables a user to display and add-on user interface component within a web page using a technique that is transparent to the normal processing within the browser application. The injection mechanism installs interceptor code as a proxy server between a client browser and a server. The interceptor code monitors all HTTP messages sent from the client browser and injects code into each HTML document that is sent from a server to the client browser. The injected code when executed by the client browser conditionally inserts HTML code into the received HTML document. The inserted HTML code is then used by the client browser to generate a new component, for example a user interface component. The code injected by the interceptor code is dependent upon the type of the browser and whether certain tags are present in the received HTML document. In addition, the code may be written in a script language, such as Javascript or VBscript.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

METHOD AND SYSTEM FOR INJECTING CODE  
TO CONDITIONALLY INCORPORATE A USER  
INTERFACE COMPONENT IN AN HTML DOCUMENT

5

TECHNICAL FIELD

The present invention relates to transparently modifying an existing document and, in particular, to injecting code into an HTML document that conditionally generates a user interface component.

10

BACKGROUND OF THE INVENTION

Today's computer networking environments, such as the Internet, offer mechanisms for delivering documents between heterogeneous computer systems. One such network, the World Wide WEB network, which comprises a subset of Internet sites, supports a standard protocol for requesting documents known as WEB pages and for receiving them. This protocol is known as the Hypertext Transfer Protocol, or "HTTP." HTTP defines a high-level message passing protocol for sending and receiving packets of information between diverse applications. Details of HTTP can be found in various documents including T. Berners-Lee et al., *Hypertext Transfer Protocol--HTTP 1.0*, Request for Comments (RFC) 1945, MIT/LCS, May, 1996, which is incorporated herein by reference. Each HTTP message follows a specific layout, which includes amongst other information a header, which contains information specific to the request or response. Further, each HTTP request message contains a universal resource identifier (a "URI"), which specifies to which network resource the request is to be applied. A URI is either a Uniform Resource Locator ("URL") or Uniform Resource Name ("URN"), or any other formatted string that identifies a network resource. The URI contained in a request message, in effect, identifies the destination machine for a message. URLs, as an example of URIs, are

20  
25

discussed in detail in T. Berners-Lee, et al., *Uniform Resource Locators (URL)*, RFC 1738, CERN, Xerox PARC, Univ. of Minn., December, 1994, which is incorporated herein by reference.

Figure 1 illustrates how a browser application enables users to  
5 navigate among nodes on the WEB network by requesting and receiving WEB pages. For the purposes of this application, a WEB page is any type of document that abides by the HTML format. That is, the document includes an "<HTML>" statement. Thus, a WEB page is also referred to as an HTML document. The HTML format is a document mark-up language, defined by the Hypertext  
10 Markup Language ("HTML") specification. HTML defines tags for specifying how to interpret the text and images stored in an HTML document. For example, there are HTML tags for defining paragraph formats and for boldening and underlining text. In addition, the HTML format defines tags for adding images to documents and for formatting and aligning text with respect to images. HTML  
15 tags appear between angle brackets, for example, <HTML>. Further details of HTML are discussed in T. Berners-Lee and D. Connolly, *Hypertext Markup Language-2.0*, RFC 1866, MIT/W3C, November, 1995, which is incorporated herein by reference.

In Figure 1, a WEB browser application 101 is shown executing on  
20 a client machine 102, which communicates with a server machine 103 by sending and receiving HTTP packets (messages). Web browser 101 "navigates" to new locations on the network to browse (display) what is available at these locations. In particular, when WEB browser 101 "navigates" to a new location, it requests a new document from the new location (e.g., server machine 103) by sending an  
25 HTTP-request message 104 using any well-known underlying communications wire protocol. HTTP-request message 104 follows the specific layout discussed above, which includes a header 105 and a URI field 106, which specifies the network location to which to apply the request. When the server machine

specified by URI 106 (*e.g.*, server machine 103) receives the HTTP-request message, it decomposes the message packet and constructs a return message packet to the source location that originated the message (*e.g.*, client machine 102) in the form of an HTTP-response message 107. In addition to the standard  
5 features of an HTTP message, such as the header 108, the HTTP-response message 107 contains the requested HTML document 109. When the HTTP-response message 107 reaches the client machine 102, the WEB browser application 101 extracts the HTML document 109 from the message, and parses and interprets (executes) the HTML code in the document in order to display the  
10 document on a display screen of the client machine 102 as specified by the HTML tags.

To provide additional security in a global network environment, some WEB browser applications incorporate the use of an intermediary machine between the client machine and other machines on the WEB. For example,  
15 several client machines may be networked internally to a proxy server machine, which acts as a "firewall" between the client machines and server machines on an external network. Figure 2 illustrates the use of a proxy server machine to send and receive HTTP documents. The WEB browser application 201, which is shown executing on a client machine 202, sends an HTTP-request message 205  
20 to a server machine 203. The server machine (*e.g.*, server machine 203) is specified by the URI field 206 contained in the HTTP-request message 205. However, in contrast to Figure 1, the HTTP-request message 205 is sent first to a proxy server machine 204, which then forwards the HTTP-request message 205 as message 207 to the server machine 203. Because the proxy server machine  
25 204 contains a separate external network connection to server machine 203, the client machine 202 is protected from ill-behaved applications, which may be executing on or accessible via server machine 203. For example, the proxy server machine may be specially programmed to detect viruses that may be sent

in an HTTP-response message. When the HTTP-request message 207 is received, server machine 203 sends an HTTP-response message 208, which contains the requested HTML document 209, to the originator of the message. This time, the originator of the message is the proxy server 204. Thus, the HTTP-response message 208 is first sent to the proxy server machine 204 before the requested document is delivered to client machine 202. The proxy server machine 204, by having previously established a specific network connection for the original HTTP-request message 205 received from the client machine 202, knows that the received HTTP-response message 208 corresponds to the HTTP-request message 205 and thus forwards the HTTP-response message 208 as HTTP message 210 to the client machine 202. The WEB browser application 201 is then responsible for decomposing the HTTP-response message 210 to extract the HTML document and for executing the HTML code in the received document to properly display the document on client machine 202.

15 In network environments such as those shown in Figures 1 and 2, WEB browser applications, such as WEB browser 101, have attempted to make the navigational process easier for users by adding various "links" to places of interest. These links can be used to navigate to a pre-specified location by simple maneuvers, such as clicking on a graphical button on a screen. A link in this context refers to a URI, which is used by the browser application to locate another document. For example, a WEB browser may provide graphical buttons, which are placed below the standard menus in toolbars. These buttons provide links that enable a user to easily navigate to specific locations defined by the developer of the WEB browser. In order to ensure that such links are present in every WEB page displayed, the browser application must include special code to display these graphical button user interface components. Thus, when a user invokes a different WEB browser application, the user may not have access to links to which the user has become accustomed.

## SUMMARY OF THE INVENTION

The present invention provides a method and system for injecting code into an existing HTML document that enables the conditional generation and incorporation of injectable components by a browser application. The injection mechanism provided by the present invention enables a user to incorporate add-on components in a WEB page that is displayed by any browser application. These add-on components may provide an additional user interface capability to the browser application. Also, the injection mechanism is able to ensure that the add-on component is generated only under certain conditions, for example, when the component is not already being displayed by the browser application.

In one embodiment, the injection mechanism installs interceptor code as a proxy server to intercept HTTP messages between client code and server code. The interceptor code monitors HTTP messages sent from the client and is responsible for injecting code into HTML documents that are sent from servers to the client. The injected code, when executed by a client browser, conditionally inserts HTML code into the HTML document, which is then used by the browser to generate a new component, for example, a user interface component. In one embodiment, the user interface component is conditionally generated when the browser is not already displaying an instance of the component.

In another embodiment, the code supporting the injectable component is previously downloaded to a client machine. The injection mechanism provides a means for updating this downloaded code. According to one embodiment, the interceptor code is responsible for providing periodic updates to the code that supports the injectable component. In another

embodiment, the code supporting the injectable component is downloaded whenever it is displayed.

The injection mechanism also provides the ability to inject code into both HTML documents that support HTML framesets and those that do not. HTML framesets enable a page author to specify a hierarchical organization of sub-pages within a page. When injecting code into an HTML document that contains an existing frameset, the interceptor code injects code that conditionally generates a new frameset tag statement, which surrounds the existing frameset. The new frameset, when generated, specifies a frame that contains sufficient information to generate an instance of the injectable component. In HTML documents that do not contain framesets or for browsers that do not support framesets, the interceptor code injects code into the body portion of the HTML document. This injected code conditionally inserts an additional HTML tag that is used by a browser to generate an object that corresponds to the injectable component. In one embodiment, the injected code inserted by the interceptor code is written in a script language, such as Javascript or VBscript.

As another aspect of the invention, the interceptor code installed as the proxy server is responsible for detecting whether the browser supports Active-X type objects, such as Microsoft's browser, or supports embedded plug-ins, such as Netscape's browser. The interceptor codes injects code that produces the correct component depending upon the browser mechanism.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates how a browser application enables users to navigate among nodes on the WEB network by requesting and receiving WEB pages.

Figure 2 illustrates the use of a proxy server machine to send and receive HTTP documents.



Figure 3 is a block diagram illustrating an injectable component that has been incorporated into an existing HTML document without modifying the browser using the injection system of the present invention.

Figure 4 provides an overview block diagram of the techniques  
5 used by the injection system of the present invention to modify the actions of a browser to include an injectable component.

Figure 5 is a block diagram of a general purpose computer system for practicing embodiments of the injection system.

Figure 6 is an overview flow diagram of the steps executed by a  
10 browser to install the injection system and to properly parse and interpret the HTML document such that the injectable component is conditionally generated.

Figure 7 is a flow diagram of the steps performed to install the injection system of the present invention.

Figure 8 is a flow diagram of the steps performed by an installation  
15 program to install interceptor code as a new proxy server.

Figure 9 is a flow diagram of the steps performed by the interceptor code to process HTTP messages.

Figure 10 is a flow diagram of the steps performed by the interceptor code to inject code into an HTML document.

20 Figure 11 is an overview flow diagram of the steps performed by a browser to parse and interpret an HTML document.

Figure 12 is a flow diagram of the steps performed by a browser to parse and interpret the injected code inserted by the interceptor code module.

25 Figure 13 is a flow diagram of the steps performed by a browser that supports plug-ins when processing HTML tags.

Figure 14 is a flow diagram of the steps performed by a browser that supports Active-X components when processing HTML tags.

Figure 15 is a flow diagram of the steps performed by the interceptor code to update the code that implements the injectable component.

## DETAILED DESCRIPTION OF THE INVENTION

5           The present invention provides a method and system for transparently incorporating an injectable component, such as a user interface component, into an existing HTML document when the document is processed by a browser application (a "browser") that supports HTML. Specifically, the present invention provides an injection system that injects code into HTML  
10 documents. The injected code causes an instance of an injectable component to be transparently generated by the browser under certain conditions, for example, when an instance of the injectable component is not already being displayed. The browser transparently generates the new component by executing the injected code during its normal processing of HTML documents. Specifically,  
15 when the browser executes the injected code, HTML tags are conditionally inserted into the document. These tags then automatically cause the browser to generate the instance of the injectable component. In this manner, the decision as to whether to create the instance of the injectable component is delayed until the actual processing of the HTML document by the browser.

20           To accomplish these functions, the injection system of the present invention provides an injectable component, such as a user interface object, and interceptor code for injecting, into every HTML document, code that conditionally generates an instance of the injectable component. The injection system operates by installing the interceptor code as a new proxy server between  
25 the user's current browser and a proxy server, if one exists. If a proxy server has not been previously established by the user, then the interceptor code is installed between the current browser and the network. The interceptor code then monitors HTTP messages sent between the browser and the pre-existing proxy

server (or a destination server) and injects code into each HTML document returned in an HTTP-response message intended for the browser. The injected code in each HTML document is then processed by the browser as described to conditionally generate an instance of the injectable component. Thus, the injection system enables a user to supplement the user's browser with the additional functionality of the injectable component, without modifying the browser, by intercepting HTTP messages and placing code in HTML documents that causes the additional behavior to appear.

Figure 3 is a block diagram illustrating an injectable component that has been incorporated into an existing HTML document without modifying the browser using the injection system of the present invention. Browser window 301 contains its own control areas, such as browser window control area 302 and scrollbar area 304, and an application window area 303, which is used to display HTML documents. The HTML document currently displayed in the application window 303 contains injected user interface component 305 and three pre-existing frames of the HTML document, frames 306, 307, and 308. The example injected user interface component 305 contains a drop-down menu 309 and several graphical buttons 310, which provide links to portions of a 5-day weather forecast. User interface component 305 enables a user to have near-immediate access to the 5-day weather forecast from all HTML documents that are displayed within the browser window 301. One skilled in the art will recognize that any contents can be displayed as the injectable component 305, providing the appropriate links and embedded objects can be created by the browser. Thus, the present invention is not limited to providing weather forecasts or static information but rather may be used to provide any kind of static or dynamic information, interface, or component.

The injection system of the present invention provides a technique for injecting a user interface component, such as the weather forecast bar shown

in Figure 3, each time an HTML document is newly loaded into the browser application window 303. Moreover, the injection system provides a technique for ensuring that only one instance of the injectable component is generated and displayed in the browser application window 303, regardless of which HTML document is loaded, in what order, or from what source.

Figure 4 provides an overview block diagram of the techniques used by the injection system of the present invention to modify the actions of a browser to include an injectable component. Before the installation of the injection system, the WEB browser 401 sends and receives HTTP messages to a proxy server (or destination server) 403 as previously discussed with respect to Figures 1 and 2. As shown in Figure 4, after the injection system of the present invention is installed, WEB browser 401 sends HTTP messages to and receives HTTP messages from an interceptor code module 402, which is installed as the current proxy server. Interceptor code module 402 is responsible for forwarding all HTTP requests and responses through the pre-existing proxy server if one existed prior to installation of the interceptor code module. As shown, WEB browser 401 sends an HTTP-request message 404 for an HTML document to interceptor code module 402, which forwards the request as an HTTP-request message 405 to the previously designated proxy server or a destination server 403. (Hereinafter these messages are simply referred to as HTTP-requests and HTTP-responses.) Once the destination server provides an HTTP-response corresponding to the HTTP-request, the HTTP-response is forwarded (through the pre-existing proxy server if designated) as HTTP-response message 406 to the interceptor code module 402. Once received, the interceptor code module 402 determines whether the HTTP-response includes an HTML document (e.g., document 407) and, if so, modifies the contents of the HTML document to inject code, shown as injected code 408. The injected code 408 is used later by the browser to conditionally generate an instance of the injectable component. Once

the HTML document 407 is modified, the interceptor code module 402 returns the HTML document 407 with injected code 408 in an HTTP-response 409 to the WEB browser 401. The WEB browser 401 then decomposes the HTTP-response 409 to extract the HTML document 407 and executes any code present in the document 407 in order to display the document on display 410 as specified by the code. The code processed by the browser may include any browser supported code, such as scripts or HTML tag statements.

The injected code 408, which is injected into the HTTP-response 409 by interceptor code module 402, contains instructions that specifically cause the WEB browser 401 to conditionally insert HTML tag statements (HTML code) into the document 407 when the browser executes the injected code. The HTML code is used by the WEB browser 401 to generate and display an instance of the injectable component. The conditions are determined by the injected code itself. In one embodiment, the injected code is written to only insert these HTML tag statements when the component is not already being displayed. Thus, the injected code, when executed, detects when the specified condition is met. Then, when the specified condition is met, through its normal processing of the HTML code in the HTML document 407, the WEB browser 401 creates an instance of the component that is specified by the inserted HTML code. In one embodiment of the present invention, the inserted HTML code specifies an <OBJECT> tag, which defines an Active-X component for browsers that support Active-X components, such as the Microsoft Internet Explorer. Active-X components and the Microsoft Internet Explorer are defined in greater detail in J. O'Donnell et al., *Special Edition Using Microsoft Internet Explorer 3*, QUE Corp., 1996, which is incorporated herein by reference. In another embodiment, the inserted HTML code specifies an <EMBED> tag, which defines an embedded plug-in object for browsers that support embedded plug-ins, such as the Netscape browser. Embedded plug-ins and the Netscape browser are discussed in further detail in

the S. Kronick, *Netscape Navigator Handbook*, Netscape Communications Corp., ed. Aug. 1, 1996, available on the Internet via <http://home.netscape.com/eng/mozilla/3.0/handbook/>, which is incorporated herein by reference.

5           Thus, using the injection system of the present invention, one can conditionally modify any WEB page that abides by the HTML format to include an additional user interface component. For example, the injection system can be used to insert a specific set of menus supplied by a third party vendor into the user's current browser. Because the injection system techniques can ensure that  
10 an instance of the injectable component is only generated if it is not already displayed, the user will see only one set of these menus displayed no matter in what order the user navigates to the various WEB pages. As another example, the injectable component can specify a set of links to a weather forecast, such as those shown in Figure 3.

15           In a preferred embodiment, the methods and systems of the injection system are implemented on a computer system comprising a central processing unit, a display, a memory, and other input/output devices. Preferred embodiments are designed to operate in a globally networked environment, such as a computer system that is connected to the Internet. Figure 5 is a block  
20 diagram of a general purpose computer system for practicing embodiments of the injection system. The computer system 501 contains a central processing unit (CPU) 502, a display 503, a computer memory (memory) 505, or other computer-readable memory medium, and other input/output devices 504. The injection system 507 preferably resides in the memory 505 and executes on the  
25 CPU 502. The interceptor code 508 of the injection system 507 is shown with a local copy of the injectable component code 509 in the memory of the computer system after the injection system has been installed on the computer system 501. In addition, browser code 506 is also shown residing in the memory 505, along

with an HTML document 510, after the injected code has already been injected; an object class registry 511, which is used to support communication with the injection system and to create objects; and the network interface code 512, which is used to interface with the network. Other programs not shown also reside in the memory 505. One skilled in the art will recognize that the preferred injection system can also be implemented in a distributed environment where the various programs shown as currently residing in the memory 505 are distributed among several computer systems. For example, the browser code 506 may reside on a different computer system from the interceptor code 508 and from the injectable component 509.

As discussed above, to be operable, the injection system of the present invention is installed as an intermediary between the user's current browser and any server with which the browser communicates. There are two ways the injection system is installed. First, a user may explicitly install the injection system by navigating to a predetermined location (an HTML document) on the network. Alternatively, the user may have received an HTML document from some other means, such as via an email message, which contains the injected code that is used to create an instance of the injectable user interface component. When the injected code is executed, the browser recognizes that it does not have the code that corresponds to the injectable component that is specified by the HTML tag definition and attempts to use a location that is designated by the HTML tag to download the needed code. The document downloaded from the designated location preferably corresponds to the predetermined location above, and the user is then given the option to install the injection system at that time just as if the user were explicitly installing the injection system. Once the injection system is installed, the interceptor code is installed as the current proxy and the injected code is then automatically inserted into each HTML document received by the browser.

Thus, in summary, the browser installs the injection system. Thereafter, each time the browser receives an HTML document, the browser executes the injected code inserted by the interceptor code and conditionally generates an instance of the specified injectable component by simply parsing  
5 and interpreting the HTML document using its normal mechanisms. Figures 6-14 describe the installation, injection, and execution techniques in more detail and illustrate sample code injected by the interceptor code module.

Figure 6 is an overview flow diagram of the steps executed by a browser to install the injection system and to properly parse and interpret the  
10 HTML document such that the injectable component is conditionally generated. Specifically, in step 601, the browser downloads an HTML document, which enables the user to install the injection system by executing an installation program. The steps performed by the installation program are described in detail with reference to Figure 7. At some point during processing, in step 602, the  
15 browser sends an HTTP-request to a designated server. At some other point during processing, the browser receives a corresponding HTTP-response from the previously designated server, and in step 604, extracts, parses and interprets the HTML document contained within the HTTP-response in order to properly process the HTML document.

20 Figure 7 is a flow diagram of the steps performed to install the injection system of the present invention. These steps can be provided by a standard installation and set-up program, which provides a standard interface for installing files previously packaged by a vendor. To produce such a program, the vendor provides to an installation building program a set of files to be installed,  
25 designates where the files should be installed on the target machine, and provides various other parameters. The installation building program uses these files and the specified parameters to produce an installation program (such as "setup.exe"), which is then executed by an end user to install the files. Thus, the steps shown



in Figure 7 are the steps provided when the created installation program is actually executed by the user on the target machine. These steps are responsible for unloading the files provided by the vendor and for placing them in the proper locations on the target machine. In addition, the installation program for the injection system is responsible for installing the interceptor code as the new proxy server for the browser.

Specifically, in step 701, the install program uncompresses the file built by the supplier of the injection system and downloads the interceptor code module to local storage. In step 702, the install program uncompresses and downloads a copy of the code that implements the injectable component to local storage. One skilled in the art will recognize that this step may be eliminated if the code supporting the injectable component can be downloaded later when an instance of the component is generated. In step 703, the installation program installs the injectable component code into an object class registry, such as the object class registry 511 shown in Figure 5. The object class registry provides a mechanism, given a CLASSID (class identifier) of an object, to locate the appropriate code to load and execute in order to implement the object. For the purposes of the present invention, the object class registry provides a global data storage mechanism for storing information needed by the browser or by the interceptor code. One skilled in the art will recognize that other mechanisms that provide similar global storage functions could be substituted, for example, a standard database. In step 704, the installation code resets a browser property that indicates the current proxy server of the browser to indicate the newly downloaded interceptor code module. The steps for resetting the proxy server property are described in detail with reference to Figure 8. In step 705, the installation program clears out any local copies of HTML documents. This step is performed to ensure that any HTML documents read by the browser after the injection system has been installed properly contain an instance of the injectable

component. In step 706, the installation program changes the start-up procedure of the computer system to automatically begin executing the interceptor code module when the operating system is started, for example, at boot time. In step 707, the installation program displays a message to the user to restart the  
5 operating system to enable the newly designated proxy server (the interceptor code) to operate. The installation program then returns.

Figure 8 is a flow diagram of the steps performed by an installation program to install interceptor code as a new proxy server. Each browser implementation preferably provides a property for indicating the proxy server to  
10 be used to connect to the network, although any globally accessible indicator would be operable. Further, each such proxy server property is preferably stored in the class registry, although any storage mechanism accessible to the browser, the operating system, and the interceptor code would be operable. The installation code determines which proxy server property to modify based upon  
15 either the existence of a common proxy server designation or based upon a user designation. In particular, in step 801, the installation code determines whether any proxy servers have been specified for any browser and, if so, continues in step 802, else continues in step 806. In step 802, the installation code determines whether the proxy server properties for all of the available browsers designate the  
20 same proxy server and, if so, continues in step 804, else continues in step 803. In step 803, if different proxy servers have been designated by different browsers, then the installation code determines, preferably by prompting the user, which is the user's preferred (or default) browser, retrieves the proxy server property designation for that browser, and then continues in step 805. If, instead, a  
25 common proxy server has been specified for all of the available browsers, then in step 804, the installation code verifies that the user desires to use this proxy server and continues in step 805. In step 805, the installation code saves in the registry an indication of the proxy server designated by the determined proxy

server property for later use by the interceptor code. In step 806, the installation code determines an available network port for the interceptor code to use to send and receive HTTP messages. One mechanism for performing this step is for the installation code to attempt to bind to a socket defined by the underlying communications protocol. When the installation code locates an available socket, then in step 807, the installation code records this socket address as a network port in the registry, so that the interceptor code can later retrieve this port from the registry. In step 808, the installation code changes the value indicated by the determined proxy server property to indicate the interceptor code, and then returns.

Once installed, the interceptor code acting as a proxy server injects code that causes the conditional generation of an injectable component in each HTML document received by the interceptor code. Figure 9 is a flow diagram of the steps performed by the interceptor code to process HTTP messages. These steps perform HTTP message forwarding for both HTTP-responses and HTTP-requests. When an HTTP-response is detected, code is injected into the HTML document and the response is forwarded to the browser. When an HTTP-request (from the browser) is detected, the interceptor code optionally determines whether to update the code that implements the injectable component and forwards the request to the appropriate server.

Specifically, in step 901, the interceptor code gets the next HTTP message from the network. In step 902, the interceptor code determines whether the message specifies an HTTP-request and, if so, continues in step 903, else continues in step 905. In step 903, the interceptor code determines whether to update the injectable component code that was previously downloaded to the local client machine. This step is discussed in detail with respect to Figure 15. One skilled in the art will recognize that this step may be eliminated if the injectable component code is always downloaded by a browser at the time the

code is needed. One skilled in the art will also recognize that this step can be performed at other times. In step 904, the interceptor code determines the previously saved proxy server designation from the registry. In step 905, the routine forwards the HTTP-request to the determined proxy server and returns to  
5 the beginning of the loop in step 901 to process additional HTTP messages. Note that the saved proxy server designation may indicate that no proxy server was in use. In that case, the HTTP-request is forwarded to the target server designated in the HTTP-request, whose location is specified by a URI contained in the request. In step 906, the interceptor code determines whether the packet is an  
10 HTTP-response and, if so, continues in step 907, else continues in step 904 to simply forward the request to the appropriate proxy server (if one exists). In step 907, the interceptor code determines whether the HTTP-response contains an HTML document and, if so, continues in step 908, else continues in step 910. In step 908, the interceptor code performs error checking and potentially determines  
15 various parameters needed to inject the code, for example, the type of browser that originated the corresponding HTTP-request. In step 909, the interceptor code injects code into the HTML document, the exact code being based upon the contents of the HTML document and the type of browser being used. In step 910, the interceptor code forwards the HTTP-response, with the injected code if  
20 injected, and returns to the beginning of the HTTP message processing loop in step 901.

The interceptor code acting as the proxy server injects different code into each received HTML document based upon several parameters. In particular, certain code is inserted when the HTML document contains a  
25 <FRAMESET> tag and other code is inserted when the HTML document contains a <BODY> tag. Also, different code is generated based upon the default scripting language specified in the HTML document and the type of dynamic objects that the target browser supports. The type of the target browser

is indicated in the original HTTP-request to which the current HTTP-response being processed corresponds. The exact procedures for injecting HTML code based upon these parameters is discussed in greater detail with respect to Figure 10. Further, examples of the injected code are discussed below in detail with reference to Tables 1-8.

Figure 10 is a flow diagram of the steps performed by the interceptor code to inject code into an HTML document. As mentioned above, the interceptor determines the type of browser that has requested the HTML document and certain other features regarding the HTML document before it determines what code to inject into the HTML document. In particular, if the browser supports Active-X components, then the interceptor injects code that conditionally writes an Active-X component HTML statement into the HTML document when the browser parses and interprets the HTML document. Alternatively, if the browser supports embedded plug-ins, then the interceptor injects code that conditionally writes an embedded plug-in HTML statement into the HTML document. Also, if the HTML document includes a designation of a script language, then the injection routine retrieves the first such designation and injects code in the designated language. The first script language tag is preferably used because, according to convention, it determines the default script language for the rest of the document. If instead the interceptor code were to inject code written in a different script language than that specified by the first script language tag and were to insert this code (with a new script language tag) at the beginning of the HTML document, then potentially scripts originally present in the HTML document would not work properly because they may be parsed according to a different language. Also, certain code is inserted when a `<FRAMESET>` tag is present in the HTML document and other code is inserted when a `<BODY>` tag is present in the HTML document. One skilled in the art will recognize that other parameters may be accounted for by the code and that

the code could contain statements other than those reflected in the examples below.

Specifically, in step 1001, the interceptor code parses the HTML document to determine the first (if any) script language specified and whether there are any <FRAMESET> tags present. In step 1002, the interceptor code determines whether the first script language tag indicates that Javascript is the default script language and, if so, continues in step 1004, else continues in step 1003. In step 1003, if the default script language is VBscript, then the interceptor continues in step 1010 to insert a script in the VBscript language, else continues in step 1004 to insert a script written in the Javascript language. One skilled in the art will recognize that any scripting language can be detected and code accordingly provided as long as the interceptor code is written to inject the appropriate code into the HTML document. In step 1004, the interceptor determines whether the browser supports Active-X components, such as the MICROSOFT INTERNET EXPLORER, and, if so, continues in step 1005, else continues in step 1006. In step 1005, the interceptor injects code written in Javascript which conditionally inserts an HTML tag that specifies an Active-X component, after the first <BODY> tag found in the HTML document, and continues in step 1008.

Table 1 provides example code for the code injected at step 1005.

```

5      <SCRIPT LANGUAGE="JavaScript">
6      <!--
7          if (top.frames.length < 2)
8          {
9              document.write("<OBJECT ID='CaptureX1' WIDTH='100%'
10                 HEIGHT=23 ")
11              document.write("CODEBASE='http://www.techwave.com/WebCapture/
12                 CaptureX.cab#Version=1,0,0,2' ")
13              document.writeln("CLASSID='CLSID:DF4ED563-7875-1140-8DA7-
14                 00400522111D'>")
15              document.write("<PARAM NAME='DocumentURL' VALUE='http://
16                 server/path/'></OBJECT>")
17          }
18      //-->
19      </SCRIPT>

```

Table 1

This code in essence tests to see whether there is only one frame present and, if so, generates an <OBJECT> tag. This code is discussed in further detail in Figure 14, which describes how a browser interprets this injected code.

Alternatively, in step 1006 the interceptor code determines whether the browser supports embedded plug-in modules and, if so, continues in step 1007, else returns. In step 1007, the interceptor code injects code written in Javascript, which conditionally inserts an HTML tag that specifies an embedded plug-in, after the first <BODY> tag found in the HTML document, and continues in step 1008.

Table 2 provides example code for the code injected into the HTML document in step 1007.

```
<SCRIPT LANGUAGE="JavaScript">
<!--
    if (top.frames.length < 2)
    {
        document.write("<EMBED TYPE='application/x-webcapture'"")
        document.write("<WIDTH='100%'" HEIGHT=23")
        document.writeln("<PLUGINSOURCE='http://www.techwave.com/
        WebCapture/'>")
        document.write("<PARAM NAME='DocumentURL'"
        VALUE='http://server/path/'></EMBED>")
    }
    //-->
</SCRIPT>
```

Table 2

This code in essence tests to see whether there is only one frame present and, if so, generates an `<EMBED>` tag. This code is discussed in further detail with respect to Figure 13, which describes how a browser interprets this code.

Table 3 provides an overall example of what an HTML document that includes a `<BODY>` tag definition looks like after code written in Javascript is injected when the default language specified is Javascript and the target browser supports Active-X components. In Table 3, the injected code beginning with the `<SCRIPT LANGUAGE...>` tag and ending with the `</SCRIPT>` tag is inserted after the first `<BODY>` tag statement located in the HTML document.



```

<html>
<BODY>
<SCRIPT LANGUAGE="JavaScript">
<!--
5       if (top.frames.length < 2)
        {
            document.write("<OBJECT ID='CaptureX1' WIDTH='100%' "
                           "HEIGHT=23 ")
            document.write("CODEBASE='http://www.techwave.com/WebCapture/
10           CaptureX.cab#Version=1,0,0,2' ")
            document.writeln("CLASSID='CLSID:DF4ED563-7875-1140-8DA7-
                           00400522111D'>")
            document.write("<PARAM NAME='DocumentURL' "
                           "VALUE='http://server/path'></OBJECT>")
15           }
        //-->
        </SCRIPT>

        <SCRIPT ...>
20         ....Script already on page...
        </SCRIPT>
        <BODY ...>
        </html>

```

Table 3

25

In step 1008, the interceptor code determines whether there is a  
 <FRAMESET> tag present in the HTML document and, if so, continues in step  
 1009, else returns. In step 1009, the interceptor code injects code written in  
 Javascript, which conditionally inserts a new <FRAMESET> tag definition  
 30 around the first located <FRAMESET> tag definition, and then returns. For  
 convenience, a closing </FRAMESET> tag is preferably always injected into the  
 HTML document. This ending tag is typically ignored by a browser if  
 <FRAMESET> tags are not present in the document. Otherwise, the appropriate  
 closing </FRAMESET> tag is inserted in an appropriate location in the HTML  
 35 document.

Table 4 provides example code for the code injected into the  
 HTML document in step 1009.

```
5  <SCRIPT LANGUAGE="JavaScript">
    <!--
        if (top.frames.length < 2)
        {
            document.write("<FRAMESET rows=25,* FRAMEBORDER=0
                                FRAMESPACING=0 BORDER=0>")
            document.write("<FRAME MARGINWIDTH=0 MARGINHEIGHT=0
                                NORESIZE SCROLLING='No'
                                SRC='\"FramePage.htm\"'>")
10         }
    //-->
    </SCRIPT>
```

Table 4

- 15 In essence, the code tests to see whether there is only one frame present and, if so, generates a new frameset that points to the appropriate injectable component tag definition.

Table 5 provides an overall example of what an HTML document that contains a <FRAMESET> tag definition looks like after code is injected, when the default language specified is Javascript or no language was specified. This table contains the same code as Table 4, except that the injected code is shown in context. The injected code begins with the <SCRIPT LANGUAGE> tag and ends with the </SCRIPT> tag. Each frameset defines one or more frames and specifies a source document to be used to fill the frames. Note that the URL that is specified for the source document of the frame in this case points to the appropriate code, as shown in Table 1 or 2, depending upon whether the browser supports Active-X components or embedded plug-ins.

```

<html>
<SCRIPT LANGUAGE="JavaScript">
<!--
    if (top.frames.length < 2)
5      {
        document.write "<FRAMESET rows=25,* FRAMEBORDER=0
                           FRAMESPACING=0 BORDER=0>"
        document.write "<FRAME MARGINWIDTH=0 MARGINHEIGHT=0
                           NORESIZE SCROLLING=""No""SRC=""FramePage.htm"">"
10      }
    //-->
</SCRIPT>

<FRAMESET ...>
15   <FRAME ...>
        ...
    </FRAME>
</FRAMESET>
</html>
20

```

Table 5

Returning to step 1003, if the interceptor code determines that the default language specified is VBscript, then the routine continues in step 1010. Steps 1010-1015 operate analogously to steps 1004-1009, except that they insert code written in VBscript instead of Javascript.

Table 6 provides example code for the code injected into the HTML document in step 1011. This code conditionally inserts an Active-X component HTML statement into the HTML document when the browser parses and interprets the HTML document.

```

<SCRIPT LANGUAGE="VBScript">
<!--
    if (top.frames.length < 2) then
        document.write "<OBJECT ID=""CaptureX1"" WIDTH=""100%""
5          HEIGHT=23 "
        document.write "CODEBASE=""http://www.techwave.com/
          WebCapture/CaptureX.cab#Version=1,0,0,2"" "
        document.writeln "CLASSID=""CLSID:DF4ED563-7875-1140-8DA7-
10          00400522111D"">"
        document.write "<PARAM NAME=""DocumentURL ""
          VALUE=""http://server/path""></OBJECT>"
    end if
    REM ->
    </SCRIPT>

```

Table 6

Table 7 provides example code for the code injected into the HTML document in step 1013. This code conditionally inserts an embedded plug-in HTML statement into the HTML document when the browser parses and  
 20 interprets the HTML document.

```

<SCRIPT LANGUAGE="VBScript">
<!--
    if (top.frames.length < 2) then
        document.write "<EMBED TYPE=""application/x-webcapture"" "
25        document.write "WIDTH=""100%"" HEIGHT=23"
        document.writeln "PLUGINSOURCE=""http://www.techwave.com/
          WebCapture"">"
        document.write "<PARAM NAME=""DocumentURL ""
30        VALUE=""http://server/path""></EMBED>"
    end if
    REM ->
    </SCRIPT>

```

Table 7

Table 8 provides example code for the code injected into the HTML document in step 1015, when a <FRAMESET> tag is present.

```

5      <SCRIPT LANGUAGE="VBScript">
        if (top.frames.length < 2) then
            document.write "<FRAMESET rows=25,* FRAMEBORDER=0
                                FRAMESPACING=0 BORDER=0>"
            document.write "<FRAME MARGINWIDTH=0 MARGINHEIGHT=0
                                NORESIZE SCROLLING=""No""
                                SRC=""FramePage.htm"">"
        end if
10     </SCRIPT>

```

Table 8

Figure 11 is an overview flow diagram of the steps performed by a browser to parse and interpret an HTML document. These steps correspond to step 604 in Figure 6. In step 1101, the browser parses and interprets the HTML document. When it parses and interprets the code injected by the interceptor code, it conditionally writes the appropriate HTML tags into the HTML document. The details of this step are discussed further with reference to Figure 12. In step 1102, assuming that additional HTML code is written into the document, the browser interprets this HTML code to build an instance of the injectable component. The details of this step are disclosed further with reference to Figures 13 and 14.

Figure 12 is a flow diagram of the steps performed by a browser to parse and interpret the injected code inserted by the interceptor code module. Although the specific steps illustrated in Figure 12 correspond to a browser that supports Active-X components when the injected code is written in Javascript, analogous steps are performed for a browser that supports plug-ins or when the injected code is written in VBScript. Exceptions are noted below. In Figure 12, the browser preferably determines whether an instance of the injectable component is already being displayed and, if so, does nothing. Otherwise, if designated by the injected code, a new <FRAMESET> tag definition is inserted into the HTML document and a new <OBJECT> tag definition is inserted into the HTML document.

Specifically, in step 1201, when the browser executes the injected code placed before an existing <FRAMESET> tag (see, *e.g.*, Table 4), then the browser determines whether an instance of the injectable component is already being displayed. If so, the browser ignores the injected code, otherwise  
5 continues in step 1202. In step 1202, assuming the HTML document contains injected code as shown in Table 5, then the "document.write" statements are executed. These statements generate a new <FRAMESET> definition with a frame source URL pointing to HTML code that is used to generate an instance of the injectable component (*e.g.*, the tags shown in either Table 1 or Table 2). In  
10 step 1203, when the browser executes the injected code placed after the <BODY> tag (see, *e.g.*, Table 3) then the browser determines whether an instance of the injectable component is already being displayed. If so, then the browser ignores the injected code, otherwise continues in step 1204. In step 1204, the browser executes the "document.write" statements shown, for example,  
15 in Table 1, to insert a new HTML <OBJECT> tag definition into the HTML document. The <OBJECT> tag definition includes a CLASSID, the source of installation code for the injectable component, and various other parameters. Note that, if the steps of Figure 12 are instead executed for a browser that supports plug-ins, then the "document.write" statements will instead generate an  
20 <EMBED> tag, such as shown in the "document.write" statements of Table 2. In step 1205, the browser optionally writes out any other needed HTML code to set parameters of the instance of the injectable component, and continues.

Figures 13 and 14 discuss the steps performed by a browser to parse and interpret the inserted HTML tags to generate an instance of an  
25 injectable component. These tags are inserted into the HTML document as a result of executing the injected code, which was previously inserted by the interceptor code module. These routines correspond to step 1102 in Figure 11 for

a browser that supports embedded plug-in modules and for a browser that supports Active-X components, respectively.

Figure 13 is a flow diagram of the steps performed by a browser that supports plug-ins when processing HTML tags. In step 1301, if the browser  
5 detects a `<FRAMESET>` tag definition, then it continues in step 1302, else continues to process other types of HTML tags. In step 1302, the browser loads the HTML document specified by the source parameter URL of the `<FRAMESET>` tag and continues. In this case, the source parameter specifies a document that contains an `<EMBED>` tag, analogous to the `<EMBED>` tag  
10 generated by the "document.write" statements shown in Table 2. In step 1303, when the browser detects an `<EMBED>` HTML tag, it continues in step 1304, else continues to process other HTML tag definitions. In step 1304, the browser prompts the user to load in the code for the injectable component. In step 1305, if the user indicates a desire to load the embedded object, then the browser  
15 continues in step 1306, else continues with other processing. In step 1306, the browser copies to local storage the code specified by the "PLUGINSOURCE" parameter and executes the code in order to generate the embedded component. In step 1307, the browser sets any other parameters indicated by the `<EMBED>` tag definition and continues with other processing. As demonstrated in Figure  
20 13, the HTML tags conditionally generated by the injected code are processed just as all other HTML codes in the HTML document. Thus, the process of inserting an injectable component into the browser using the injection system of the present invention is transparent to the browser.

Figure 14 is a flow diagram of the steps performed by a browser  
25 that supports Active-X components when processing HTML tags. In step 1401, if the browser detects a `<FRAMESET>` tag definition, then it continues in step 1402, else continues to process other types of HTML tags. In step 1402, the browser loads the HTML document specified by the source parameter URL of

the <FRAMESET> tag and continues. In this case, the source parameter specifies a document that contains an <OBJECT> tag, analogous to the <OBJECT> tags generated by the "document.write" statements shown in Table 1. In step 1403, when the browser detects an <OBJECT> tag definition, the browser continues in step 1404, else continues with the processing of other HTML tag definitions. In step 1404, the browser uses the CLASSID specified in the <OBJECT> tag definition as a parameter to locate the executable code for the injectable component in the class registry. In step 1405, the browser determines whether a local copy of the code designated in the registry is available and, if so, continues in step 1407, else continues in step 1406. In step 1406, when the code is unavailable the browser runs the install injection system using the document specified by the CODEBASE parameter of the <OBJECT> tag to perform the steps discussed with reference to Figure 7. As described earlier, step 1406 is typically executed when a user receives an HTML document that contains injected code but when the injection system of the present invention has not yet been installed. Details for how downloading is performed using a cabinet file (a file with a ".cab" extension) are provided in D. Chappell, *Understanding Active-X and OLE*, Microsoft Press, Redmond, 1996, which is incorporated herein by reference. In summary, the cabinet file is automatically deconstructed to yield a file (a ".INF" file) that directs the installation process. In step 1407, when a local copy of the injectable component code is available, the browser loads and runs the injectable component code. In step 1408, the routine sets any other parameters for the injectable component that are indicated by the <OBJECT> tag, and continues with other processing.

As described with reference to Figure 9 in discussing the steps performed by the interceptor code, in one embodiment, the interceptor code is responsible for updating the code that implements the injectable component. This procedure may be important, for example, when a local copy of the



injectable component code is stored on the client machine. Recall that the interceptor code preferably determines whether this update is needed each time it receives an HTTP message that contains an HTTP-request (see, *e.g.*, step 903 in Figure 9).

5           Figure 15 is a flow diagram of the steps performed by the interceptor code to update the code that implements the injectable component. In summary, a mechanism is provided that enables the server that provides the injectable component contents to provide new injectable component code on a client-by-client basis. The interceptor code determines whether there is new  
10 injectable component code available and, if so, prompts the user to determine whether the user desires to install the new component. In a preferred embodiment, the user of the client machine is able to indicate the frequency by which the interceptor code should periodically determine whether a new injectable component is available. In a preferred embodiment, the injectable  
15 component itself provides a user interface that enables the user to set the frequency of the periodic update. For example, the menu 309 shown in Figure 3 could be used for this procedure. The designated frequency value is preferably stored as a property in global storage, such as within the class registry.

          Specifically, in step 1501, the interceptor code retrieves the update  
20 frequency from a property in the registry. In step 1502, the interceptor code determines, based upon the retrieved update frequency, whether it is time to update and, if so, continues in step 1503, else returns. In step 1503, the interceptor code sends an HTTP-request for a predetermined document (page) to a predetermined location (the server source machine for the injectable  
25 component). Except for the first time that such a request is made, this request includes a machine identifier (`machine_ID`), which is specific to the client machine. This `machine_ID` is returned in the first response by the designated server to an update request. In this way, a unique machine identifier is assigned

to each client machine. In step 1504, when a corresponding HTTP-response is received from the server that provides the injectable component, the interceptor code continues in step 1505, else continues with other processing. The HTTP-response received preferably includes the specific machine\_ID of the client machine. The server preferably generates the unique machine\_ID from a mechanism specific to that server machine, which is responsible for keeping track of each client. Any such mechanism for tracking client machine information and generating unique identifiers is operable. In step 1505, the interceptor code extracts version information from the HTTP message (and the machine\_ID if this is the first such request). In step 1506, the interceptor code determines whether the indicated version is different from the version of the injectable component code currently installed on the user's machine and, if so, continues in step 1507, else returns. In step 1507, the interceptor code prompts the user to determine if the user wishes to install a new version of the injectable component code. In step 1508, if the user indicates that installation of the new version is desired, then the interceptor code continues in step 1509 to re-install the injection system as per Figure 7, else returns.

Although the present invention has been described in terms of preferred embodiments, it is not intended that the invention be limited to these embodiments. Equivalent methods, structures, processes, steps, and other modifications within the spirit of the invention fall within the scope of the invention. The scope of the present invention is defined by the claims which follow.

## CLAIMS

1           1.     A method in a computer system for injecting code into an HTML  
2 document to conditionally generate a user interface component when processing the  
3 HTML document, the method comprising:  
4           under control of browser code,  
5                 installing interceptor code as a proxy server; and  
6                 sending a request for the HTML document to the interceptor code  
7 installed as the proxy server;  
8           under control of the interceptor code installed as the proxy server,  
9                 receiving the request for the HTML document;  
10                forwarding the received request to a specified server;  
11                upon receiving a response that includes the requested HTML  
12 document, injecting code into the received HTML document thereby creating a  
13 modified HTML document, wherein the stored code, when executed, conditionally  
14 generates additional code; and  
15                forwarding to the browser code the received response that  
16 includes the modified HTML document; and  
17           under control of the browser code,  
18                upon receiving the response that includes the modified HTML  
19 document, executing the code injected in the modified HTML document such that,  
20 when a certain condition is met, additional code is inserted into the modified HTML  
21 document; and  
22                when processing the modified HTML document, using the  
23 inserted additional code to generate the user interface component.

1           2.     The method of claim 1 wherein the processing of the HTML  
2 document comprises displaying the HTML document.

1           3.     The method of claim 1 wherein the certain condition depends  
2 upon whether the user interface component is already displayed.

1           4.     The method of claim 3 wherein a determination of whether the  
2 user interface component is already displayed is performed by determining whether  
3 there is only one frame currently being displayed.

1           5.     The method of claim 1 wherein the inserted additional code  
2 comprises HTML tags that are used to create an Active-X component.

1           6.     The method of claim 1 wherein the inserted additional code  
2 comprises HTML tags that are used to create a plug-in component.

1           7.     The method of claim 1 wherein the injected code is a script.

1           8.     The method of claim 7 wherein the script is written in Javascript.

1           9.     The method of claim 7 wherein the script is written in VBScript.

1           10.    The method of claim 1 wherein the using of the inserted  
2 additional code to generate the user interface component further comprises:  
3           instantiating an object specified by the additional code;  
4           determining from a class registry an indicator of code to implement the  
5 behavior of the instantiated object; and  
6           loading and executing the code indicated by the determined indicator.

1           11.    The method of claim 1 wherein the user interface component is  
2 specified as an HTML frame.

1           12. A method in a computer system for conditionally incorporating  
2 an injectable component as part of an HTML document, the method comprising:  
3           installing interceptor code; and  
4           under control of the installed interceptor code,  
5           receiving a response to a request for the HTML document; and  
6           injecting code into the received response whereby, when the  
7 HTML document is processed, the injected code causes conditional generation of the  
8 injectable component and incorporation of the generated component as part of the  
9 HTML document.

1           13. The method of claim 12 wherein the processing of the HTML  
2 document includes displaying the HTML document.

1           14. The method of claim 12 wherein the incorporation of the  
2 generated component as part of the HTML document comprises displaying the  
3 generated component.

1           15. The method of claim 12 wherein the HTML document is a WEB  
2 page.

1           16. The method of claim 12 wherein the injected code is a script.

1           17. The method of claim 16 wherein the script is written in the  
2 Javascript language.

1           18. The method of claim 16 wherein the script is written in the  
2 VBscript language.

1           19.    The method of claim 12 wherein the interceptor code is installed  
2 as a proxy server for a browser.

1           20.    The method of claim 12 wherein the injected code, upon a certain  
2 condition, generates HTML tags that are used to generate the injectable component.

1           21.    The method of claim 20 wherein the certain condition is met  
2 when the injectable component is not already displayed.

1           22.    The method of claim 12, further comprising periodically updating  
2 code that implements the injectable component.

1           23.    The method of claim 12, further comprising:  
2           downloading code that implements the injectable component; and  
3           wherein the injected code uses the downloaded code to generate and  
4 incorporate the injectable component.

1           24.    The method of claim 23, further comprising periodically updating  
2 the downloaded code.

1           25.    A WEB page capturing system for injecting code to conditionally  
2 generate a component on a WEB page comprising:

3           an injectable user interface component;

4           an interceptor server that is installed as a proxy server, receives and  
5 forwards requests for WEB pages, receives and forwards responses to the requests for  
6 WEB pages, and that, upon receiving a response to a request for a WEB page, injects  
7 code into the WEB page; and

8           a browser that forwards requests for WEB pages to the interceptor server  
9 installed as the proxy server, receives responses that contain WEB pages from the

10 proxy server, and displays the received WEB pages, such that, when a WEB page is  
11 received from the proxy server that contains the injected code, the injectable user  
12 interface component is generated and displayed transparent to the browser when a  
13 certain condition is met, without modifying the implementation of the browser.

1 26. The system of claim 25 wherein the injectable user interface  
2 component is periodically updated by the interceptor server.

1 27. The system of claim 25 wherein the injected code is a script.

1 28. The system of claim 27 wherein the script is written in Javascript.

1 29. The system of claim 27 wherein the script is written in VBscript.

1 30. The system of claim 25 wherein the generation and display of the  
2 user interface component is performed by inserting HTML tags into the WEB page  
3 when the certain condition is met, the HTML tags being used to generate the user  
4 interface component.

1 31. The system of claim 25 wherein the certain condition is met when  
2 it is determined that the user interface component is not already displayed on the WEB  
3 page.

1 32. The system of claim 25 wherein the generation and display of the  
2 user interface component is performed by inserting a new frameset tag definition into  
3 the WEB page when the certain condition is met.

1           33.    A document injection system comprising:  
2           a user interface component that is downloaded to a client machine;  
3           an interceptor code module that is downloaded to the client machine and  
4 installed as a proxy server, thereby automatically intercepting HTTP-request and  
5 HTTP-response messages between the client machine and a specified server machine,  
6 the interceptor code module injecting code into each document that is received in an  
7 HTTP-response message; and  
8           a browser code module that sends HTTP-request messages and receives  
9 HTTP-response messages through the proxy server and that processes documents  
10 received in HTTP-response messages, whereby, when the browser code module  
11 executes the injected code as part of processing a received document, additional code  
12 is conditionally inserted into the received document that, when executed, is used to  
13 automatically generate an instance of the downloaded user interface component.

1           34.    The system of claim 33 wherein the processing of the document  
2 involves displaying the document.

1           35.    The system of claim 33, further comprising displaying the  
2 automatically generated user interface component instance when the additional code is  
3 executed.

1           36.    The system of claim 33 wherein the downloaded user interface  
2 component is periodically updated by the interceptor code module so that an updated  
3 user interface component is conditionally generated.

1           37.    The system of claim 33 wherein the document is an HTML  
2 document.



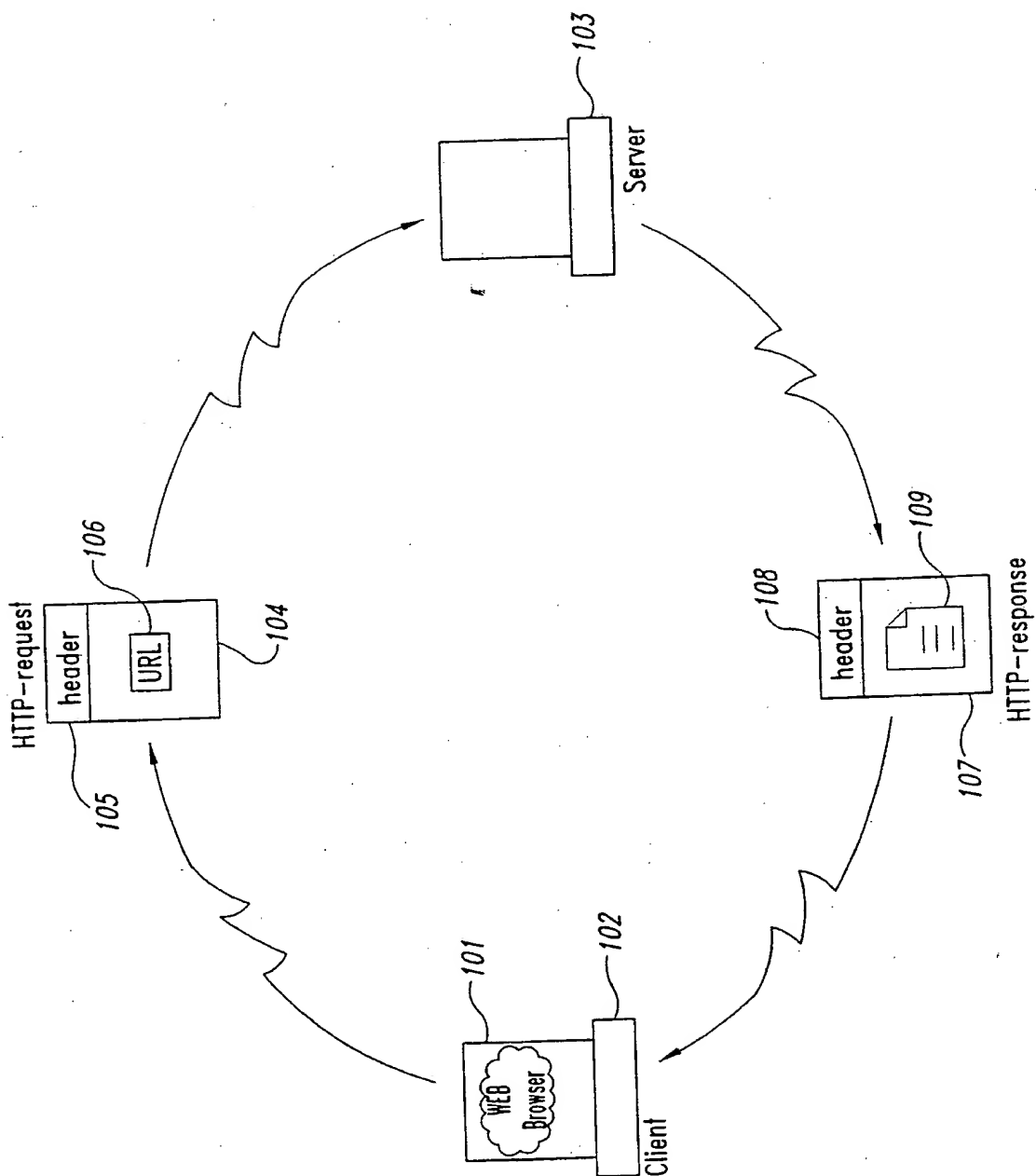
1           38.    The system of claim 33 wherein the injected code is written in a  
2 script language.

1           39.    The system of claim 33 wherein the additional code is used to  
2 generate an Active-X component.

1           40.    The system of claim 33 wherein the additional code is used to  
2 generate a plug-in component.

1           41.    The system of claim 33 wherein the additional code comprises  
2 HTML tag statements.

1/15



*Fig. 1*

2/15

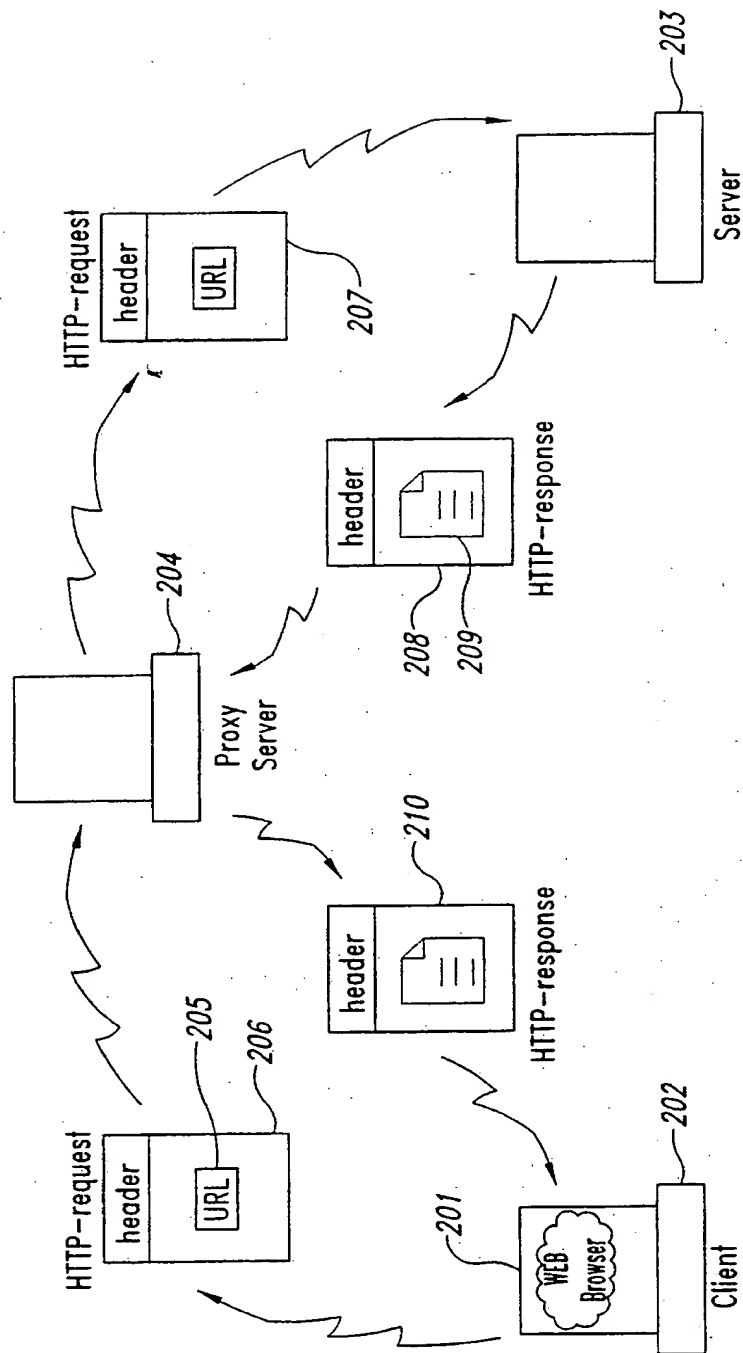


Fig. 2

3/15

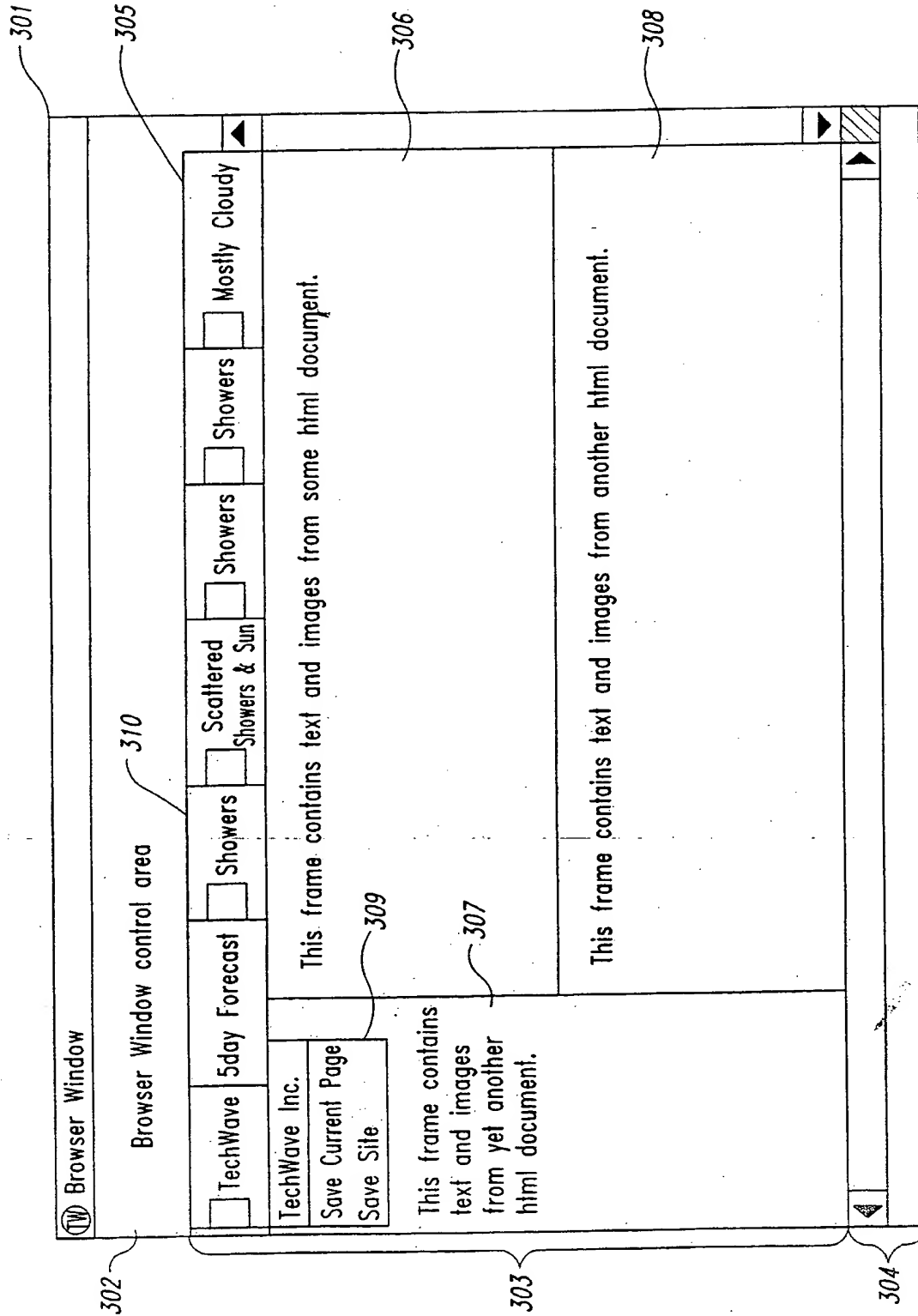


Fig. 3

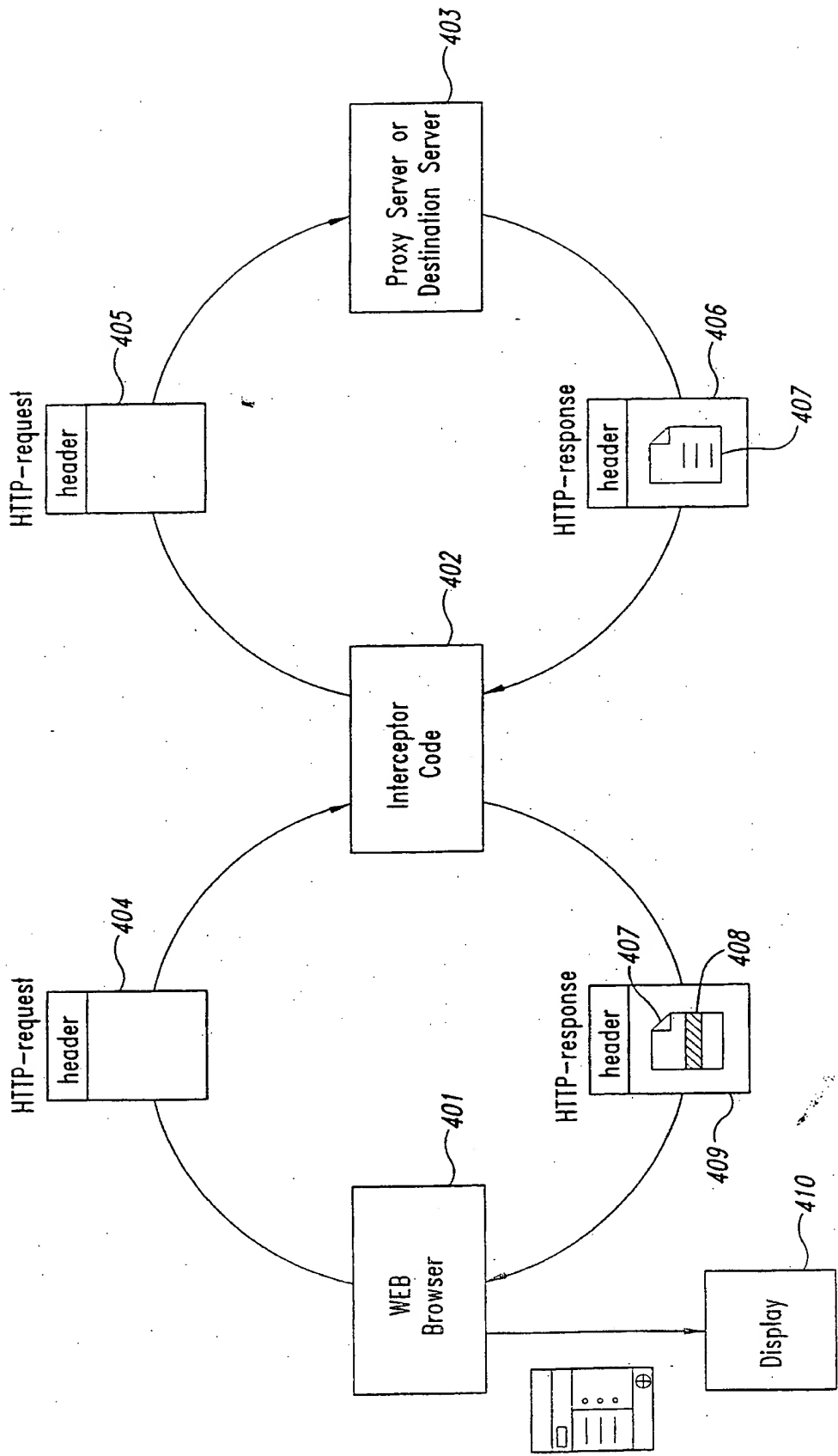


Fig. 4

5/15

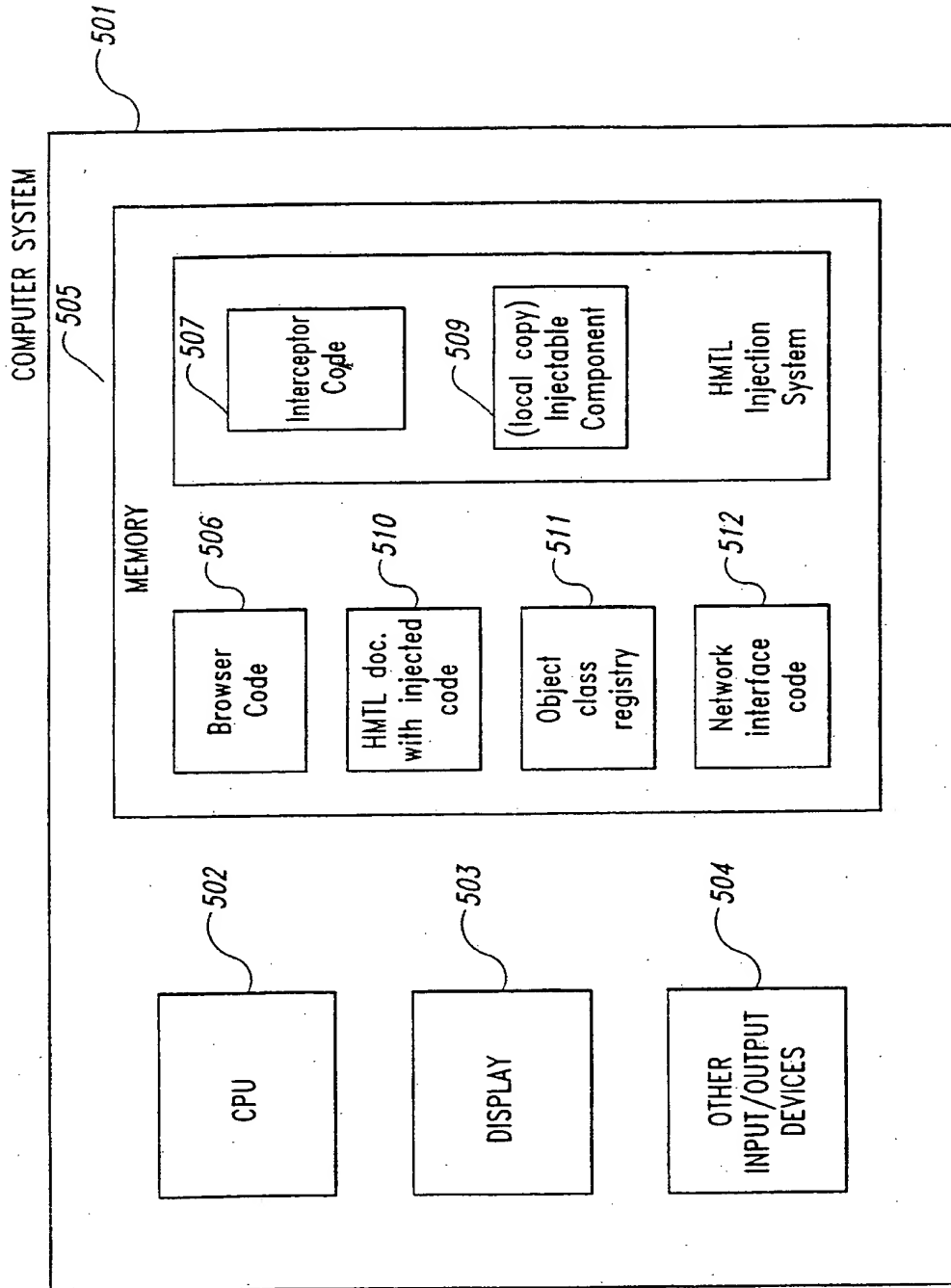
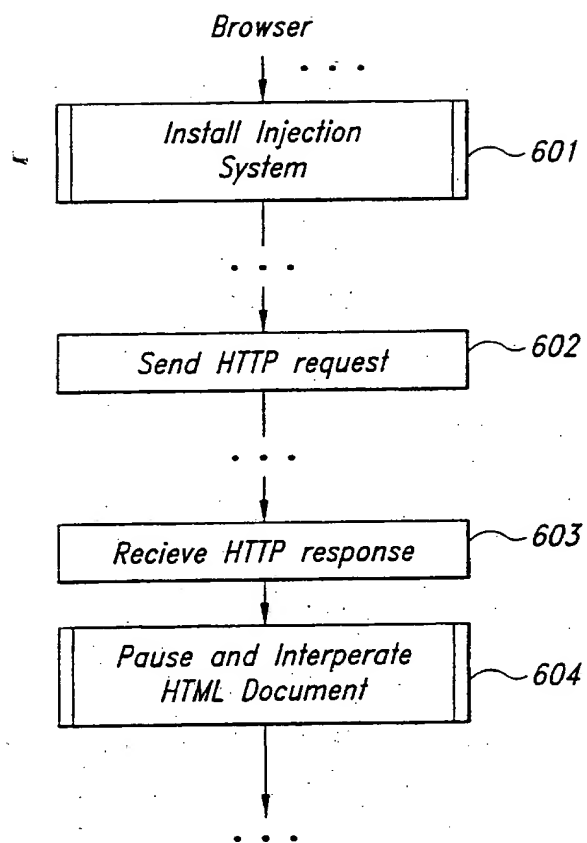
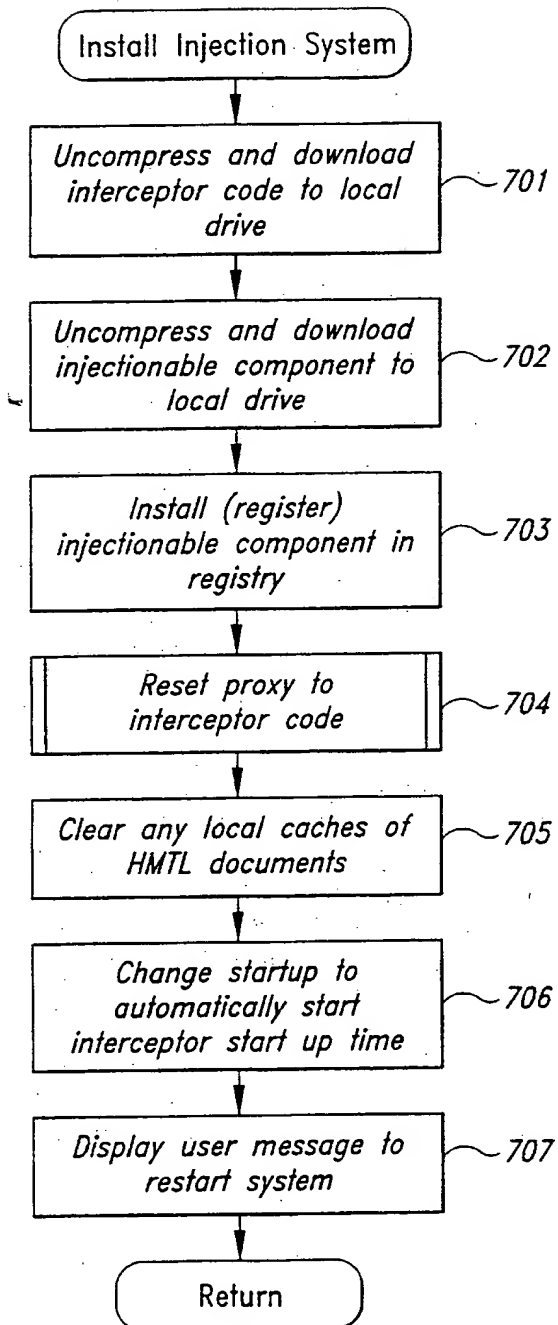


Fig. 5

6/15

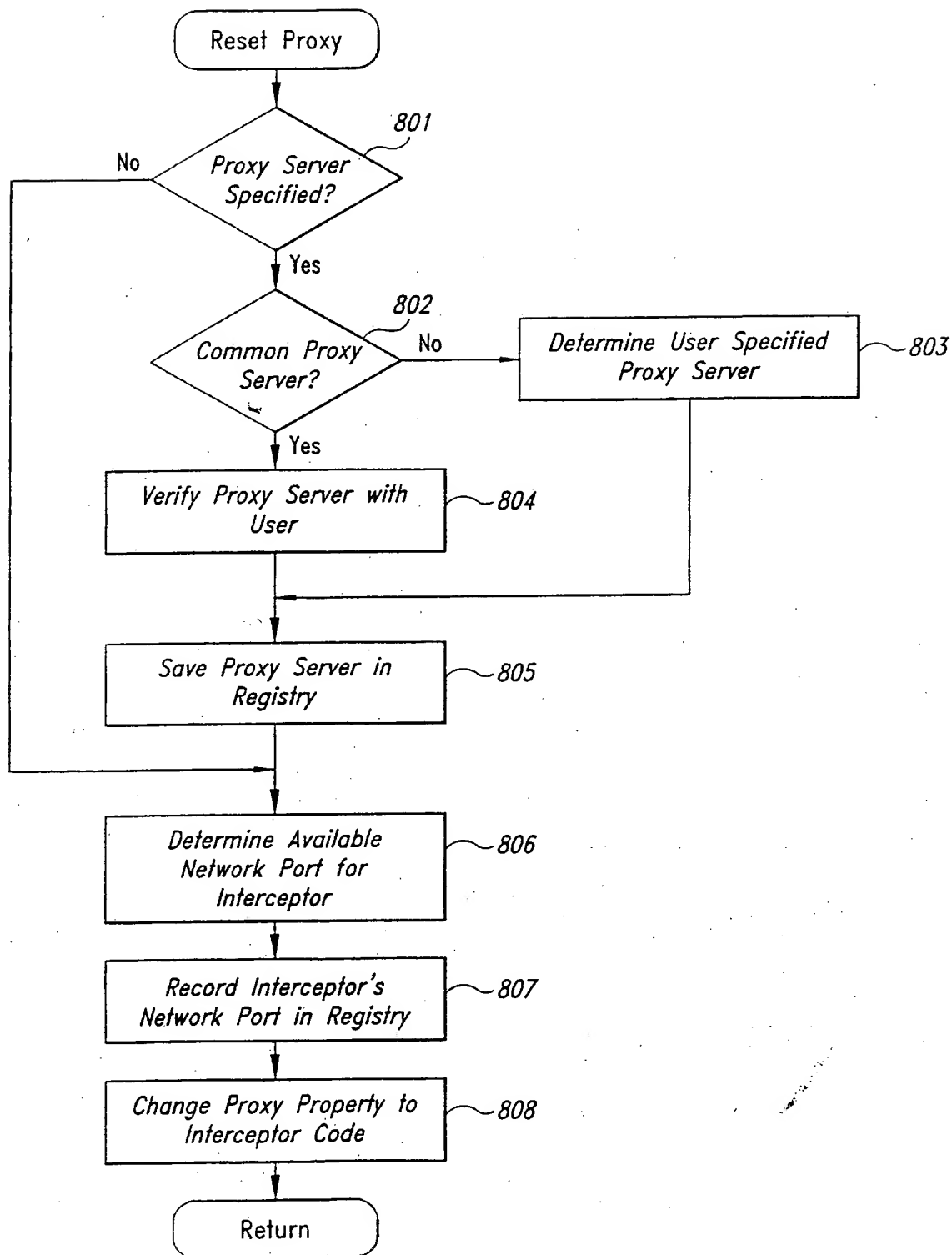
*Fig. 6*

7/15

*Fig. 7*



8/15

*Fig. 8*

9/15

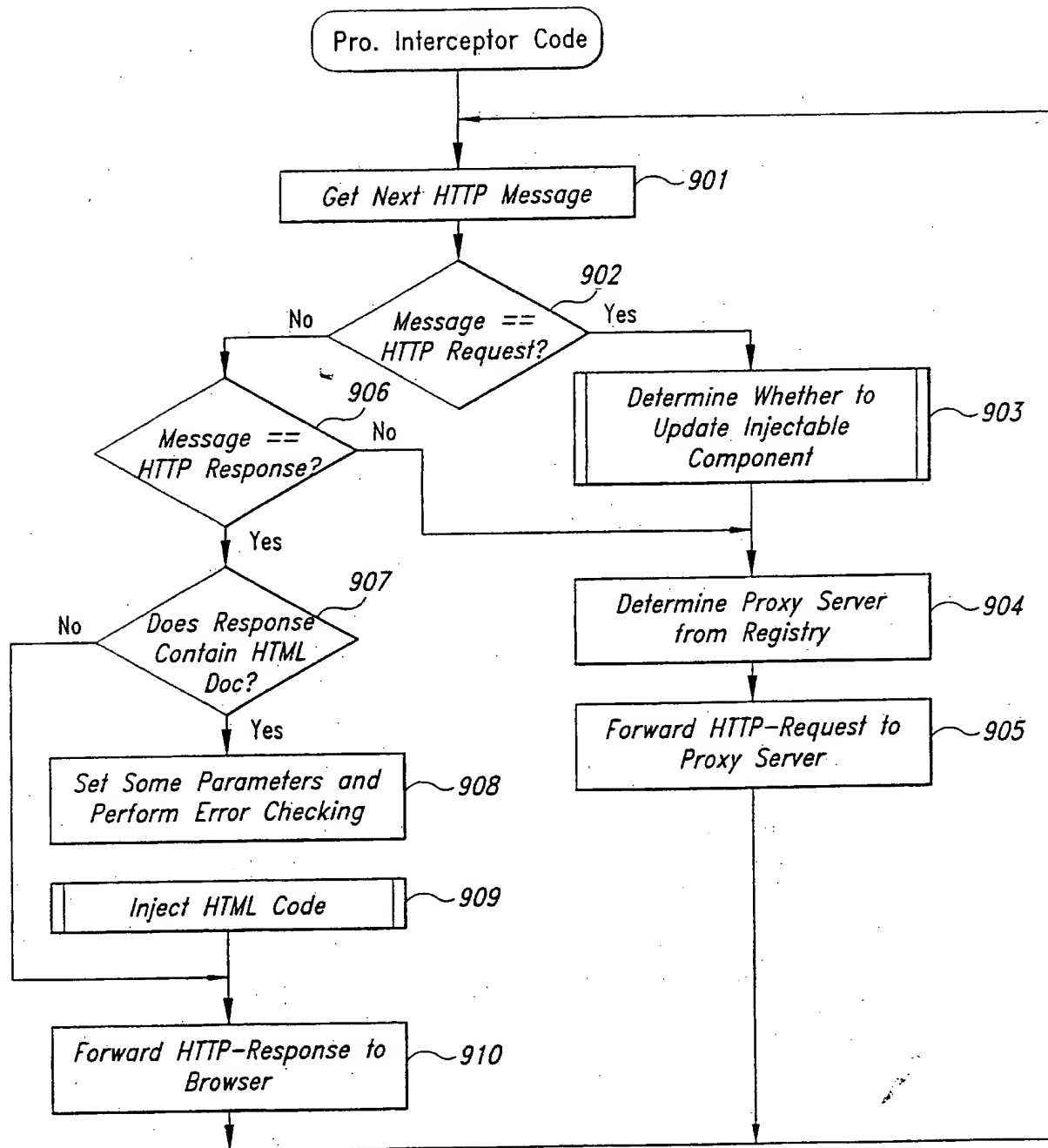


Fig. 9

1/15

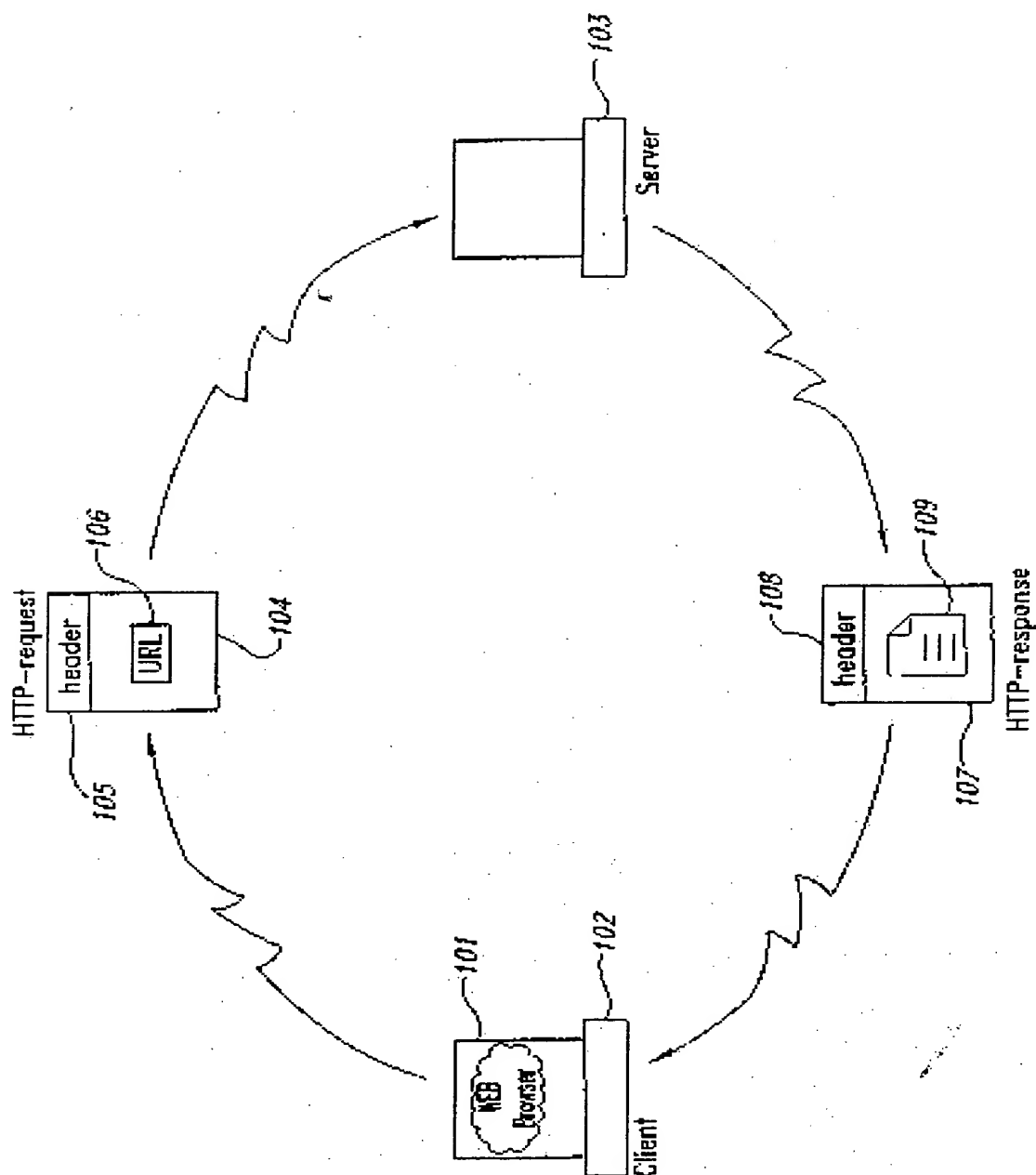


Fig. 1

2/15

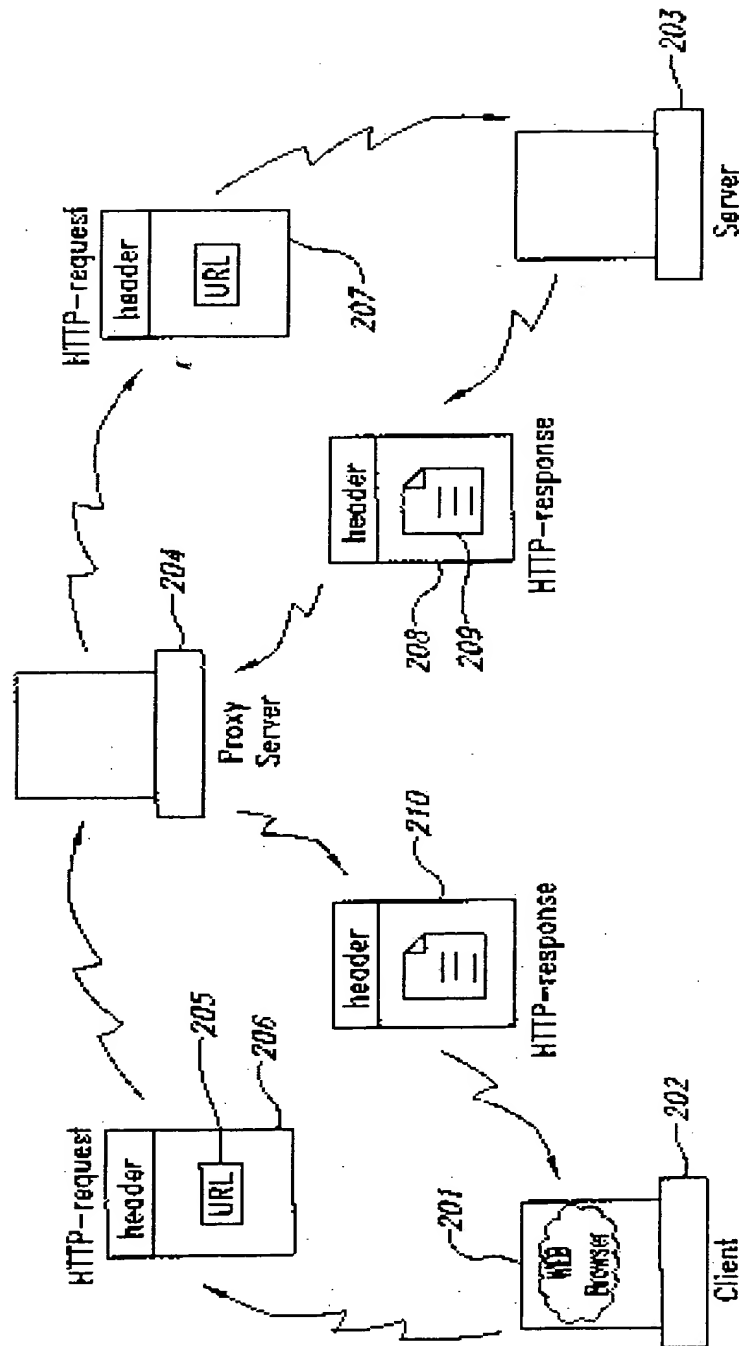


Fig. 2

3/15

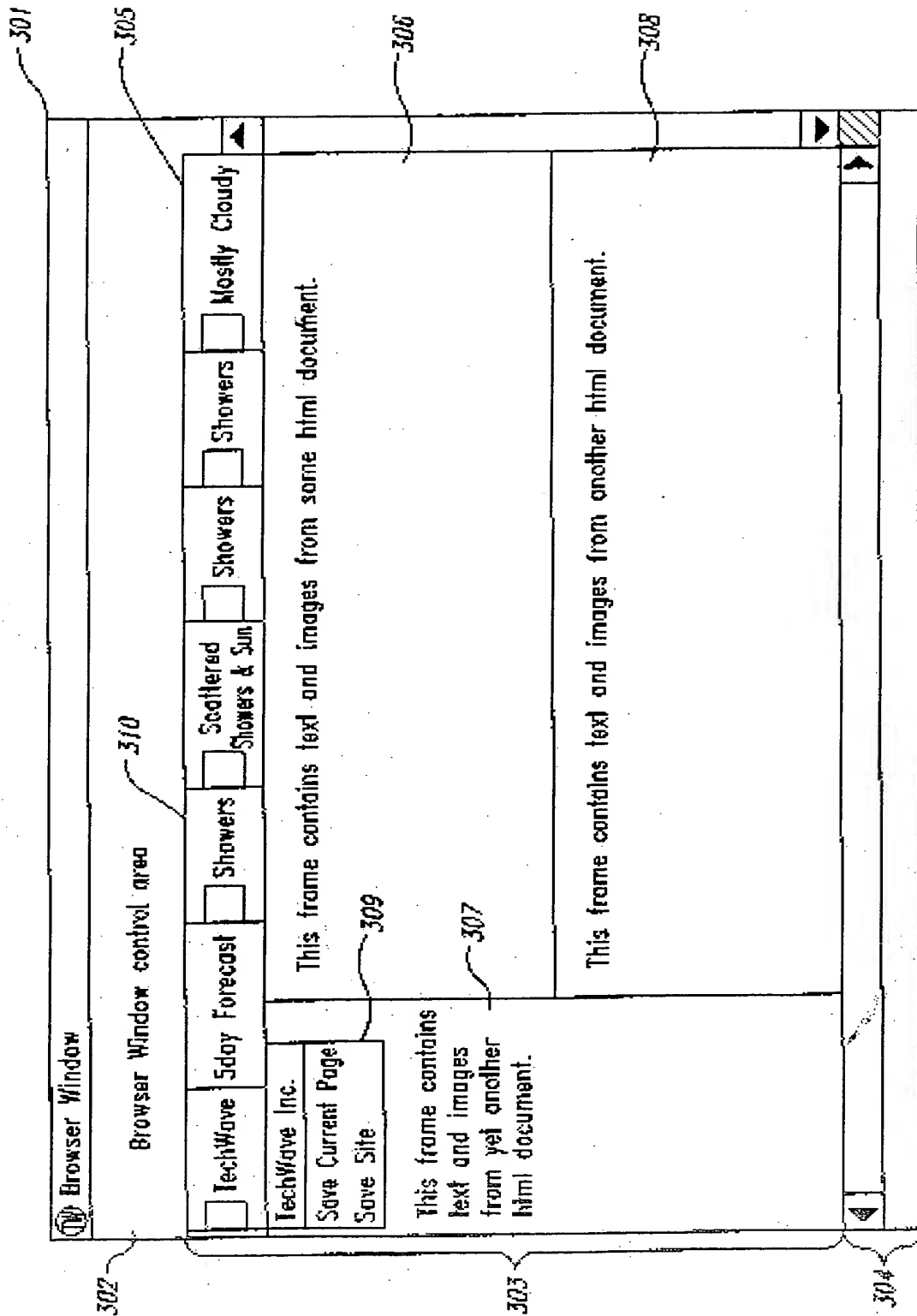


Fig. 3

4/15

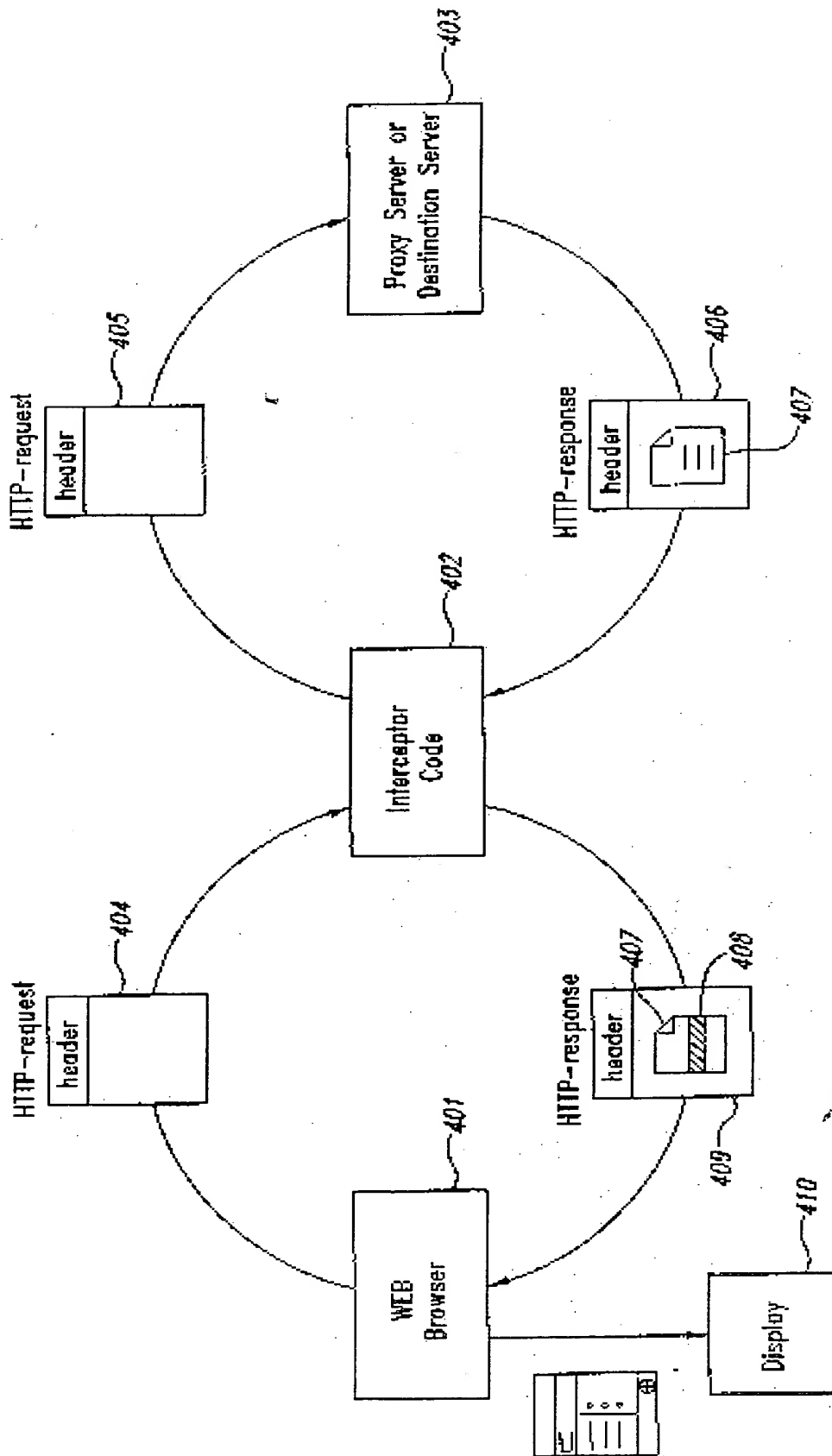


Fig. 4

5/15

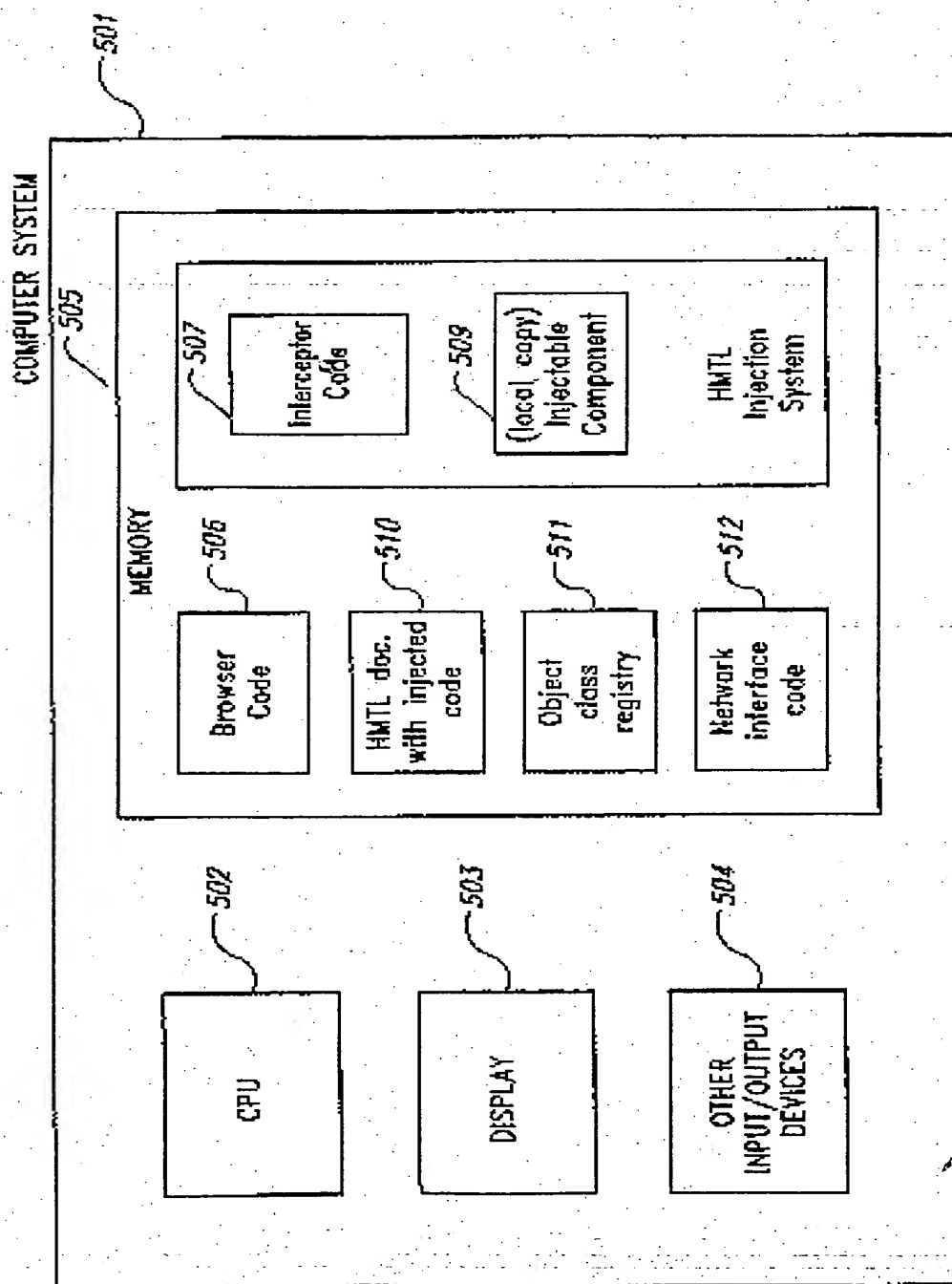


Fig. 5

6/15

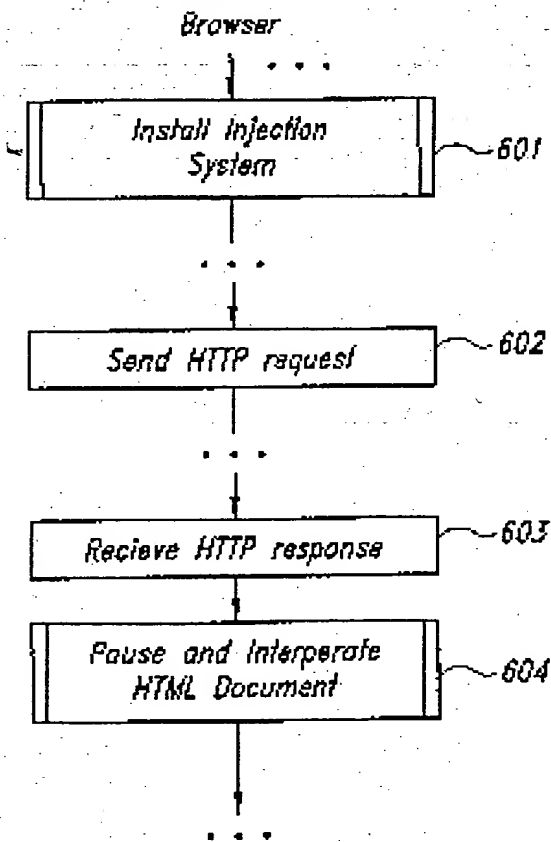


Fig. 6



7/15

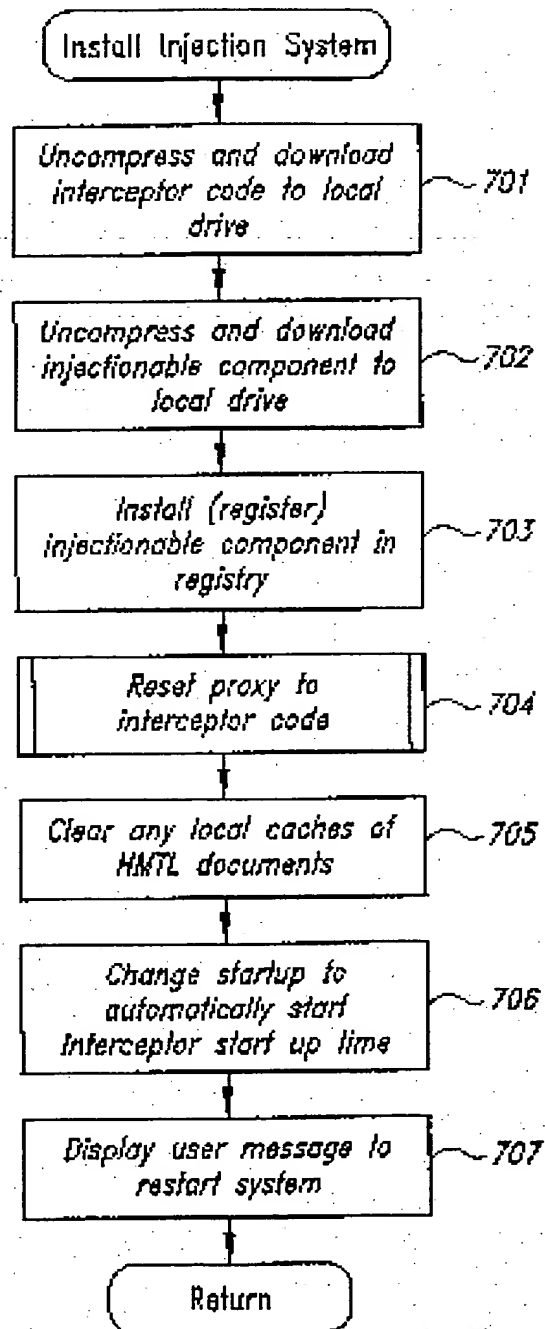


Fig. 7

8/15

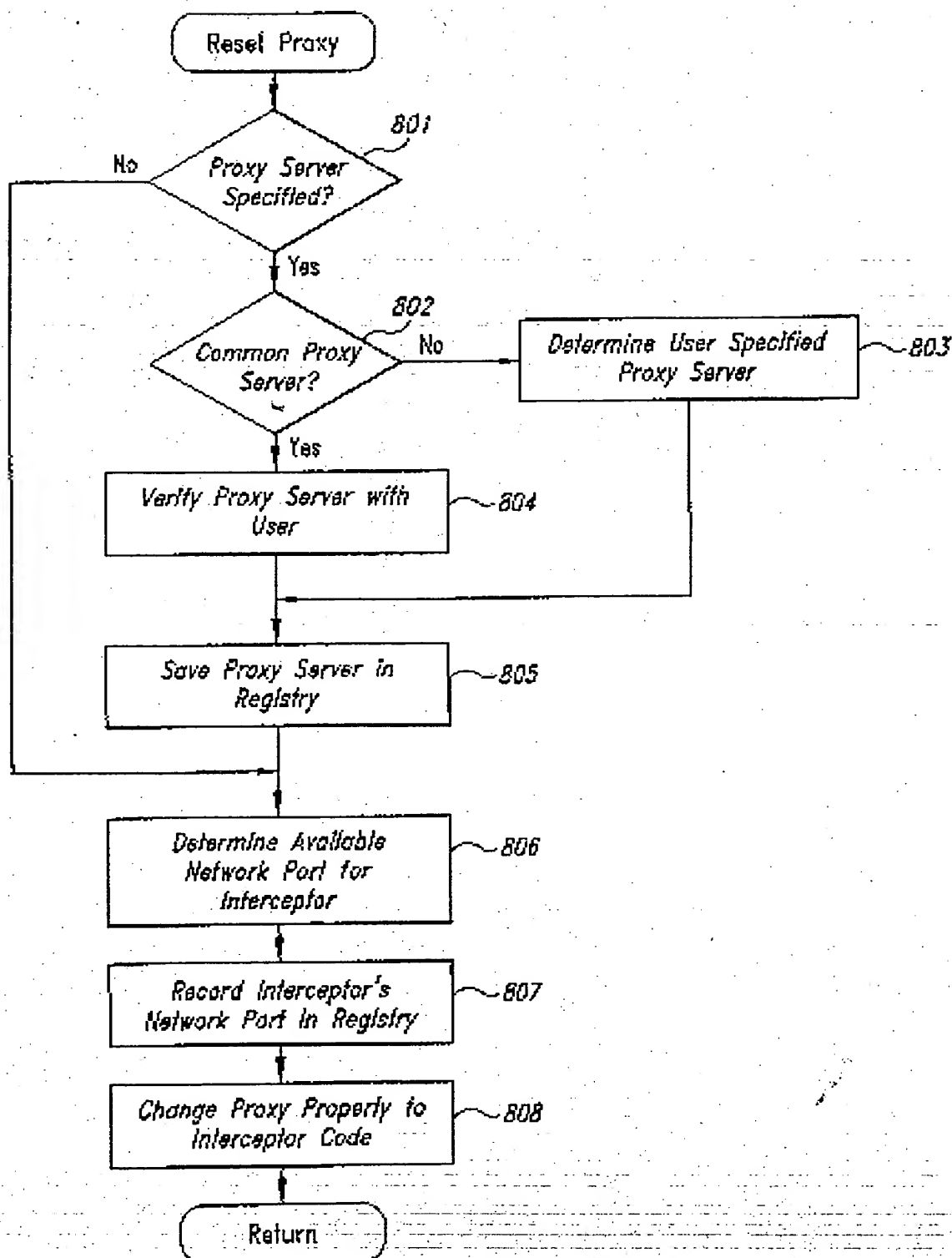


Fig. 8

7/15

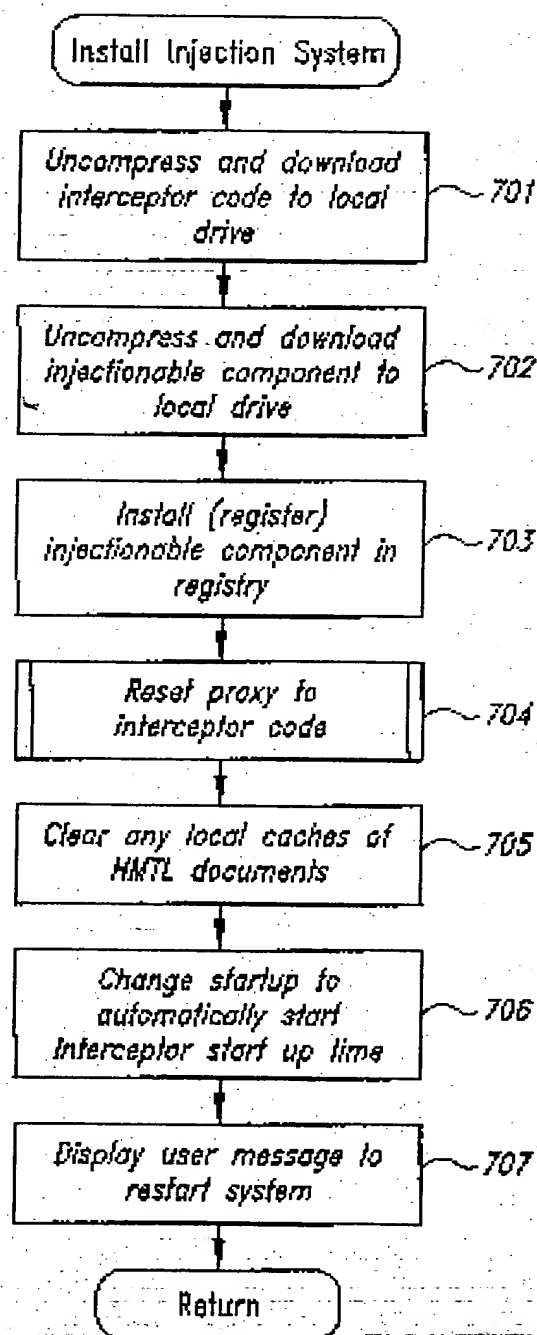


Fig. 7

8/15

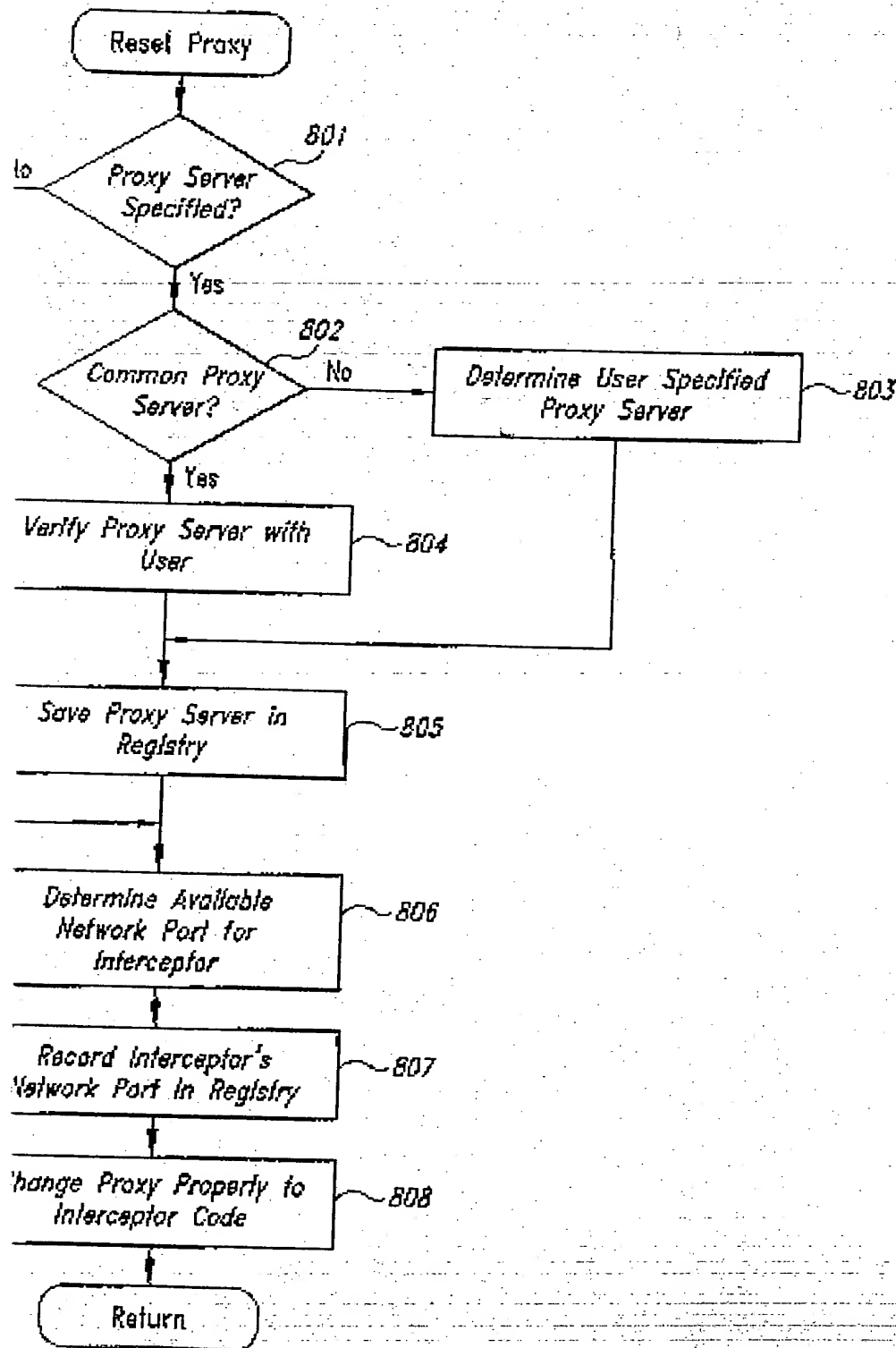


Fig. 8

10/15

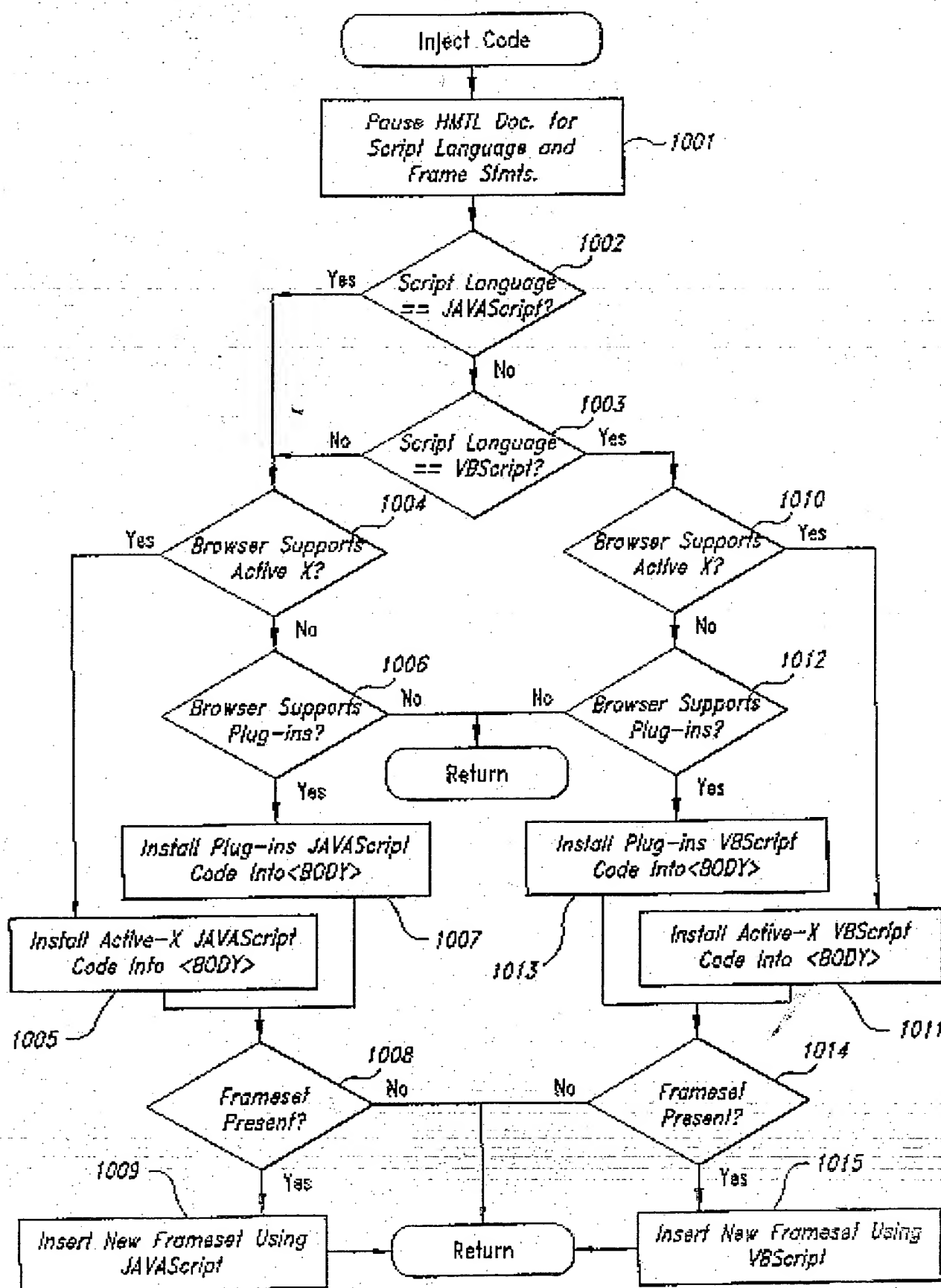


Fig. 10

9/15

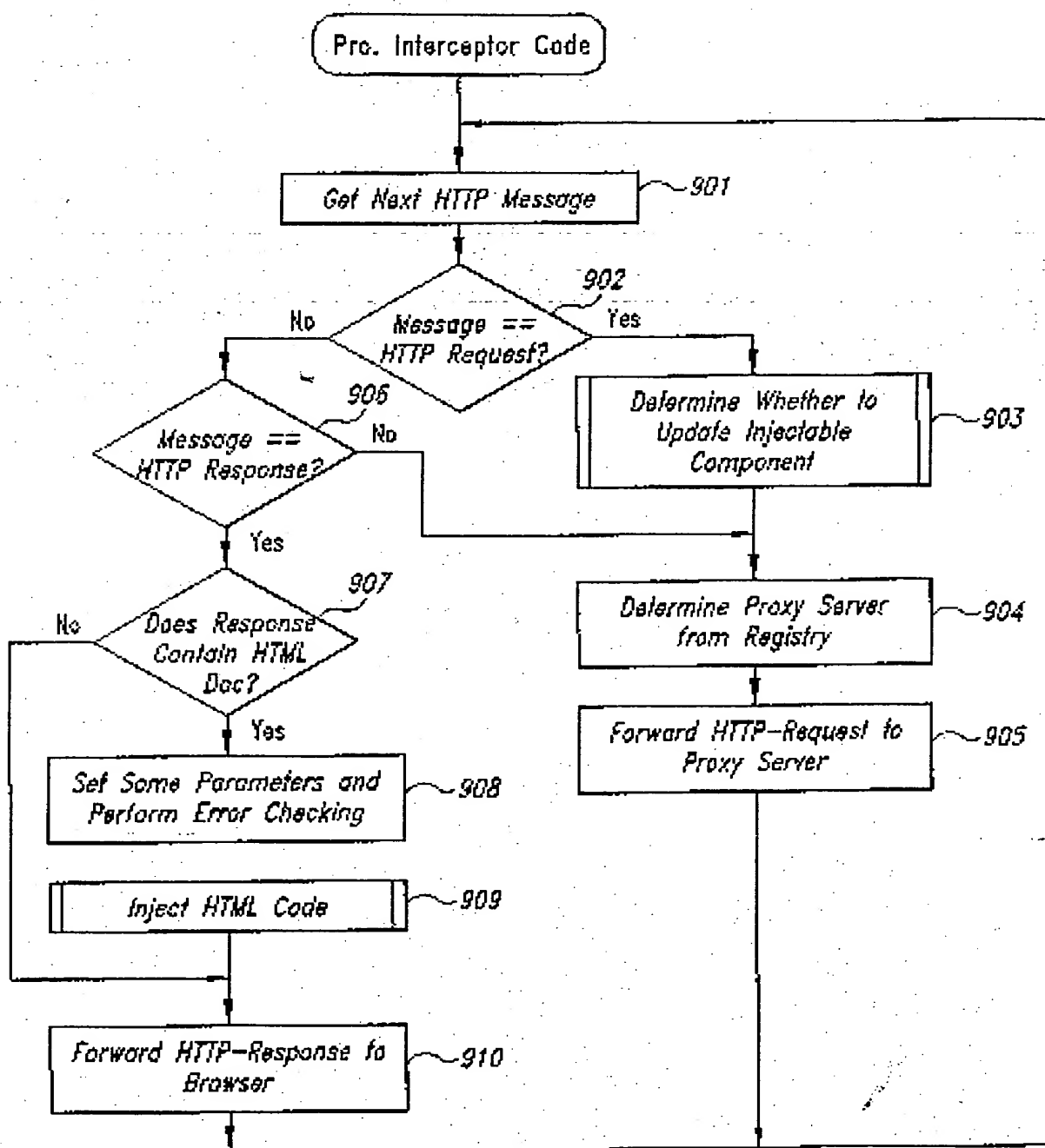
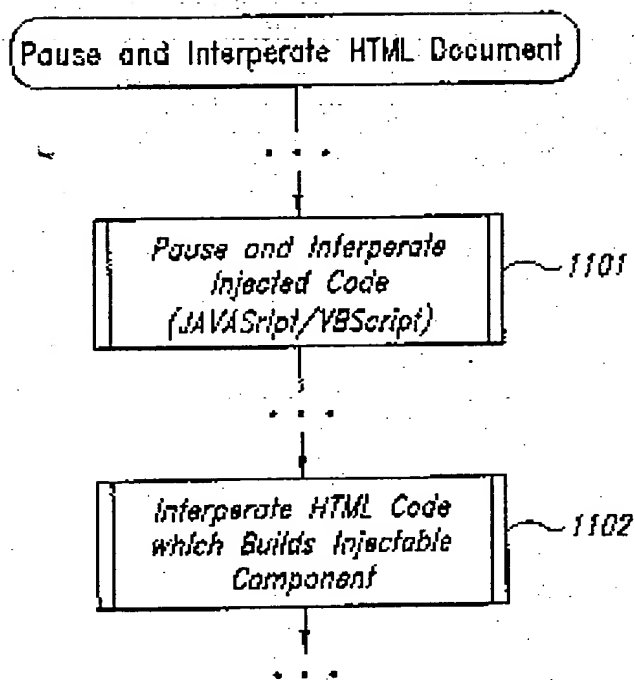


Fig. 9

11/15

*Fig. 11*

12/15

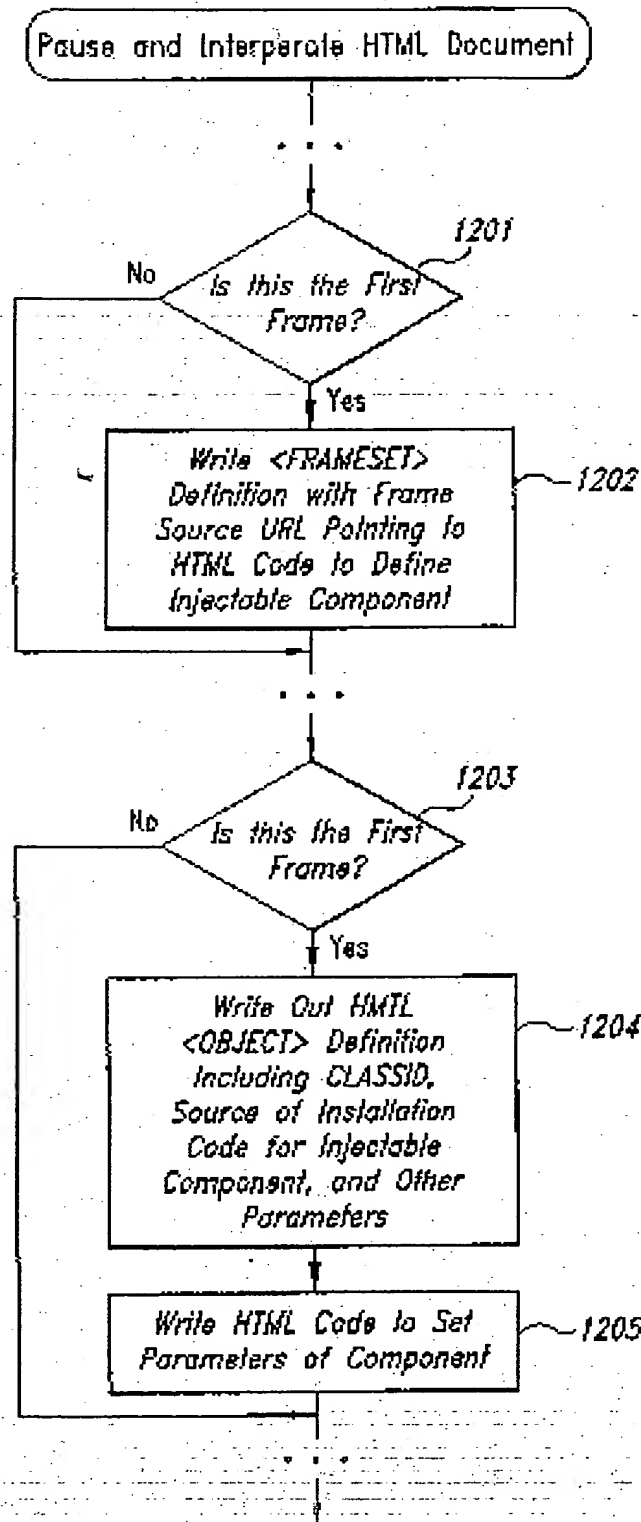


Fig. 12



13/15

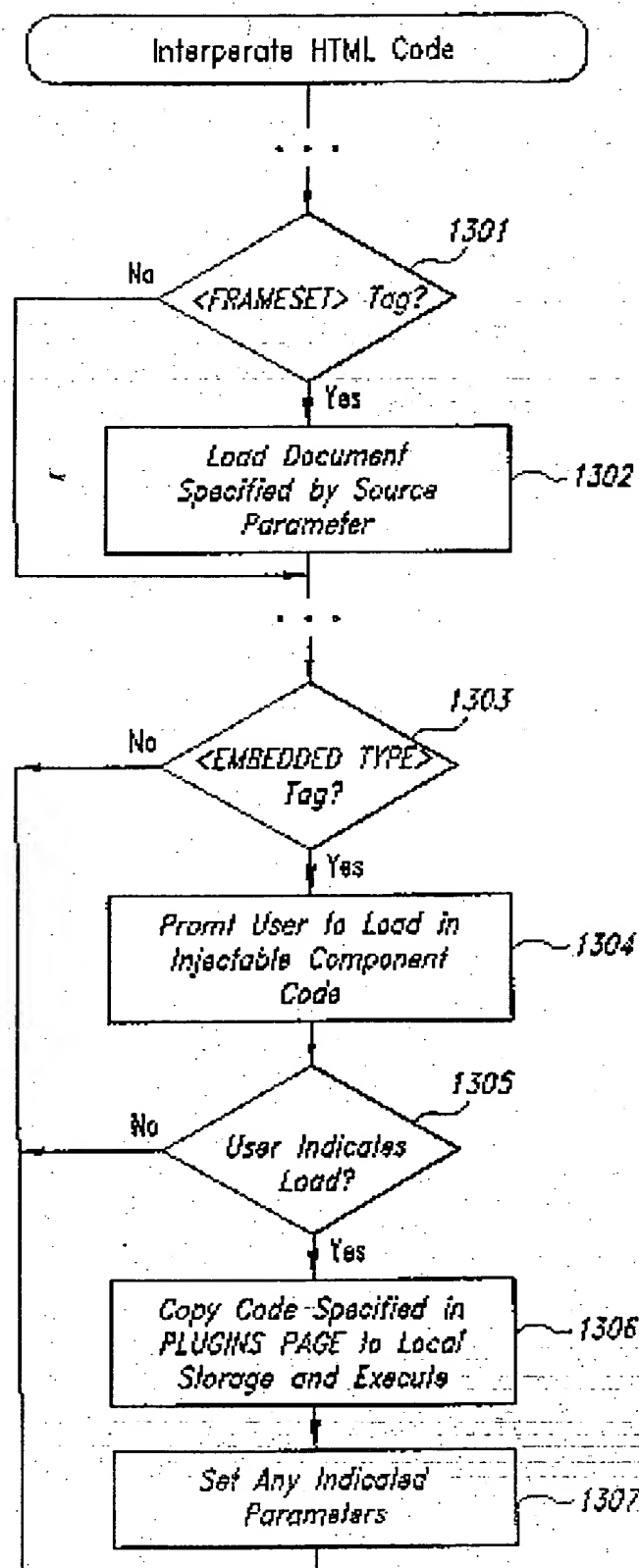


Fig. 13

14/15

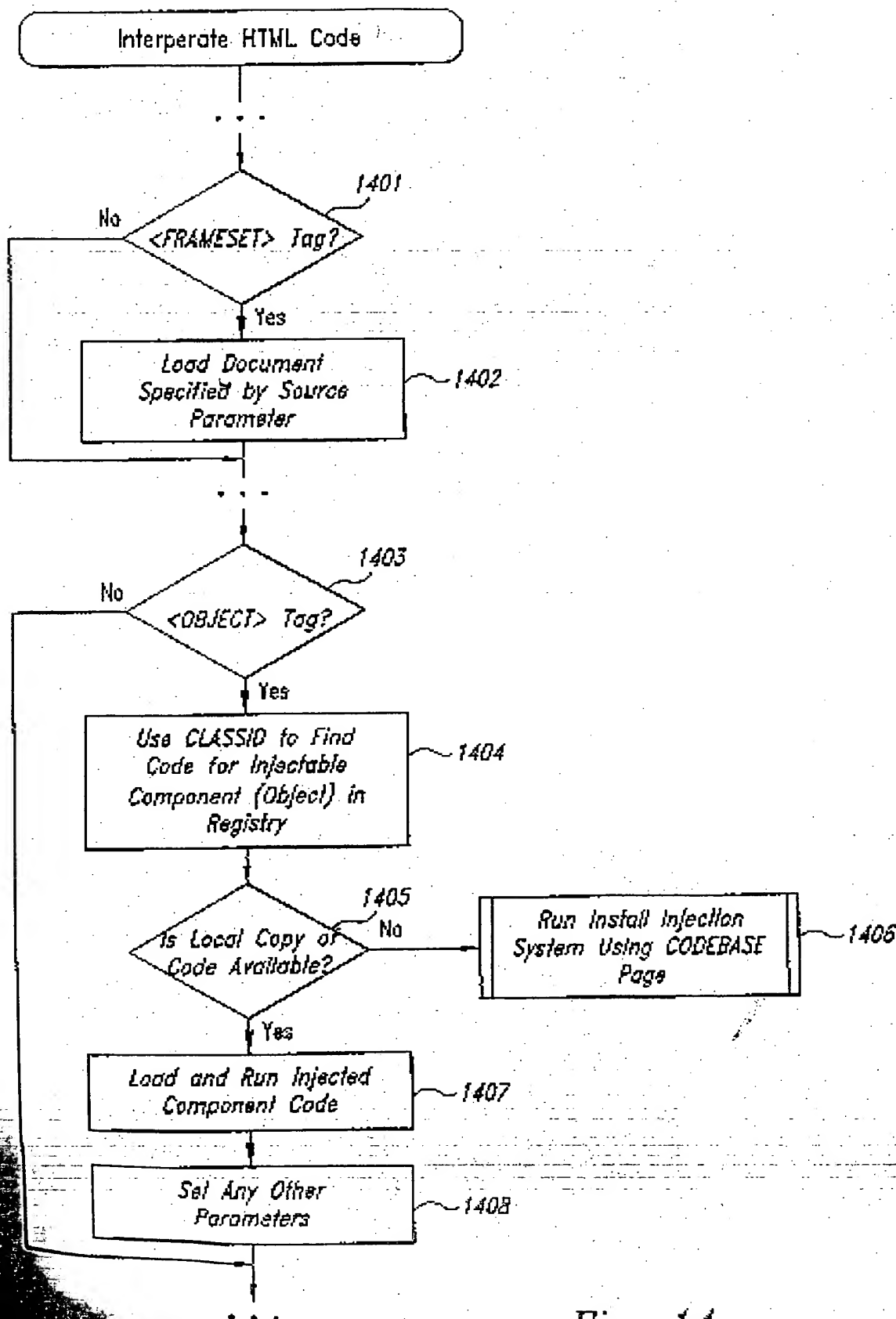


Fig. 14

15/15

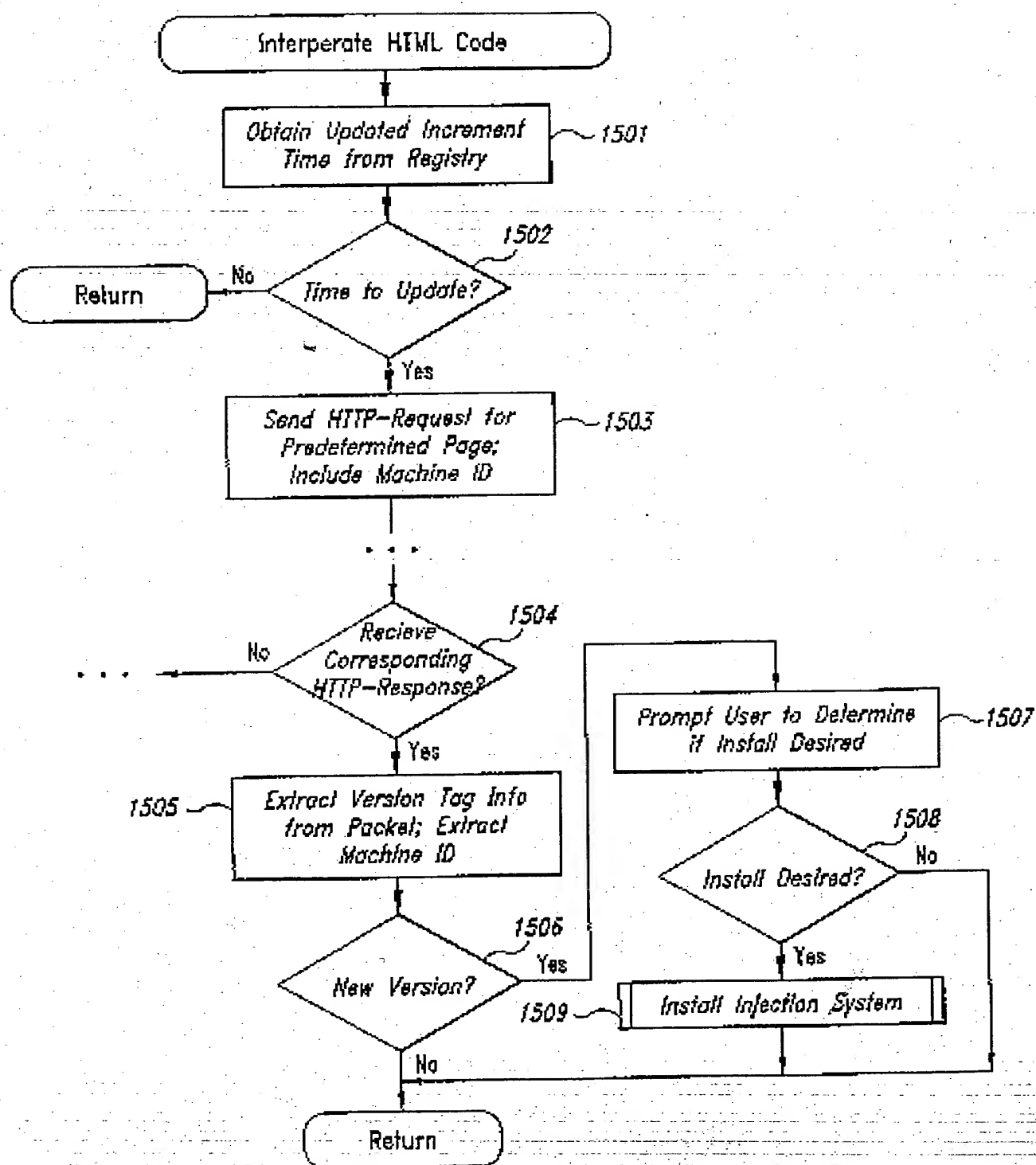
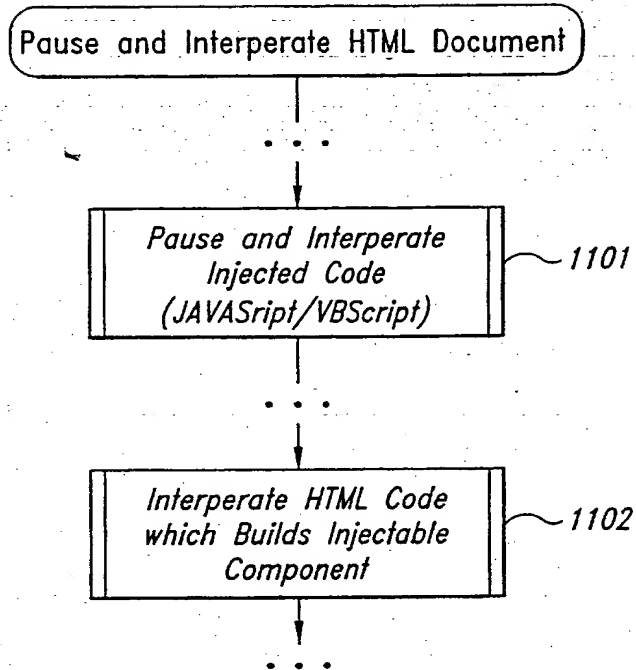


Fig. 15

SUBSTITUTE SHEET ( rule 26 )

11/15

*Fig. 11*

10/15

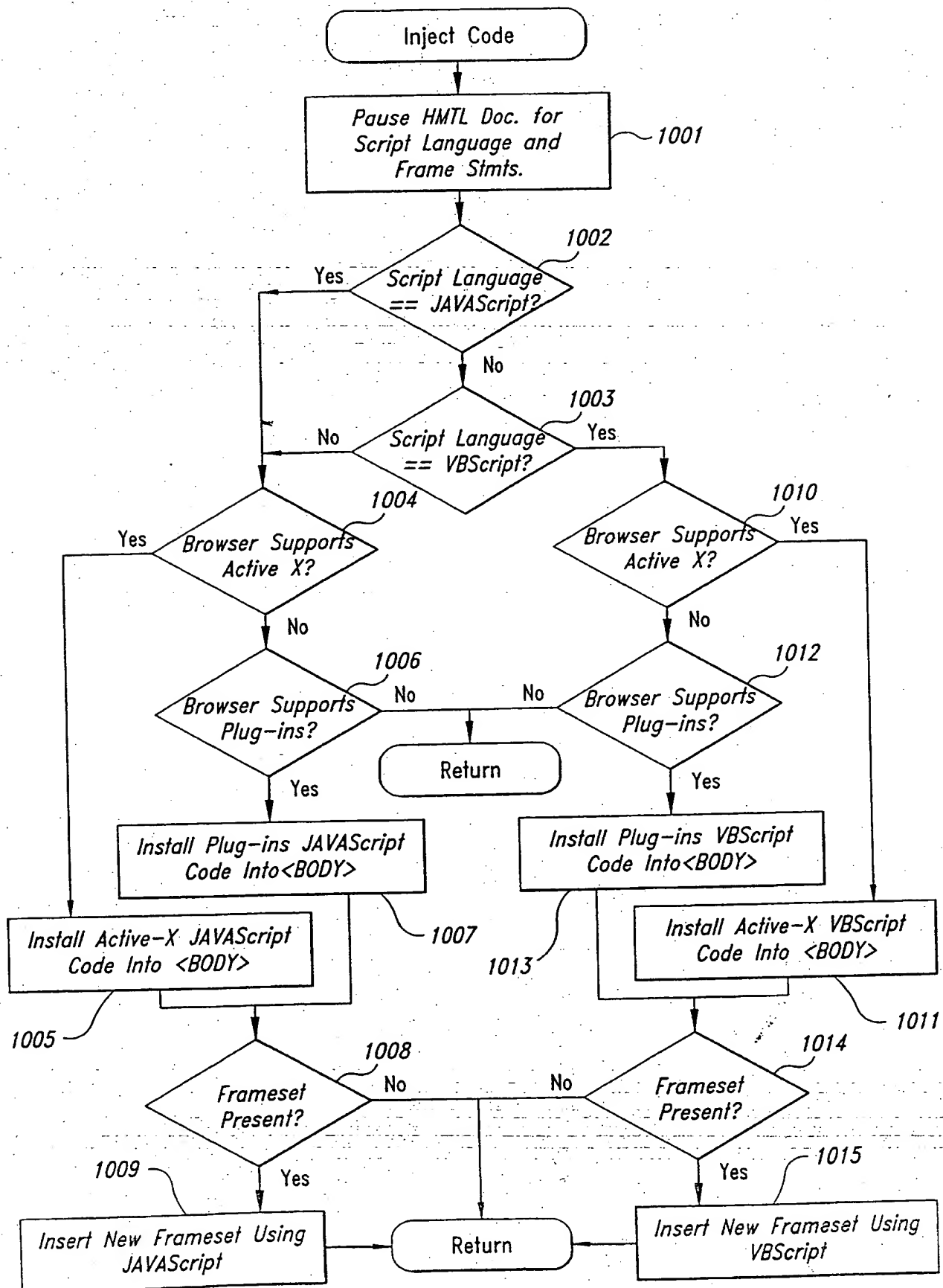


Fig. 10

12/15

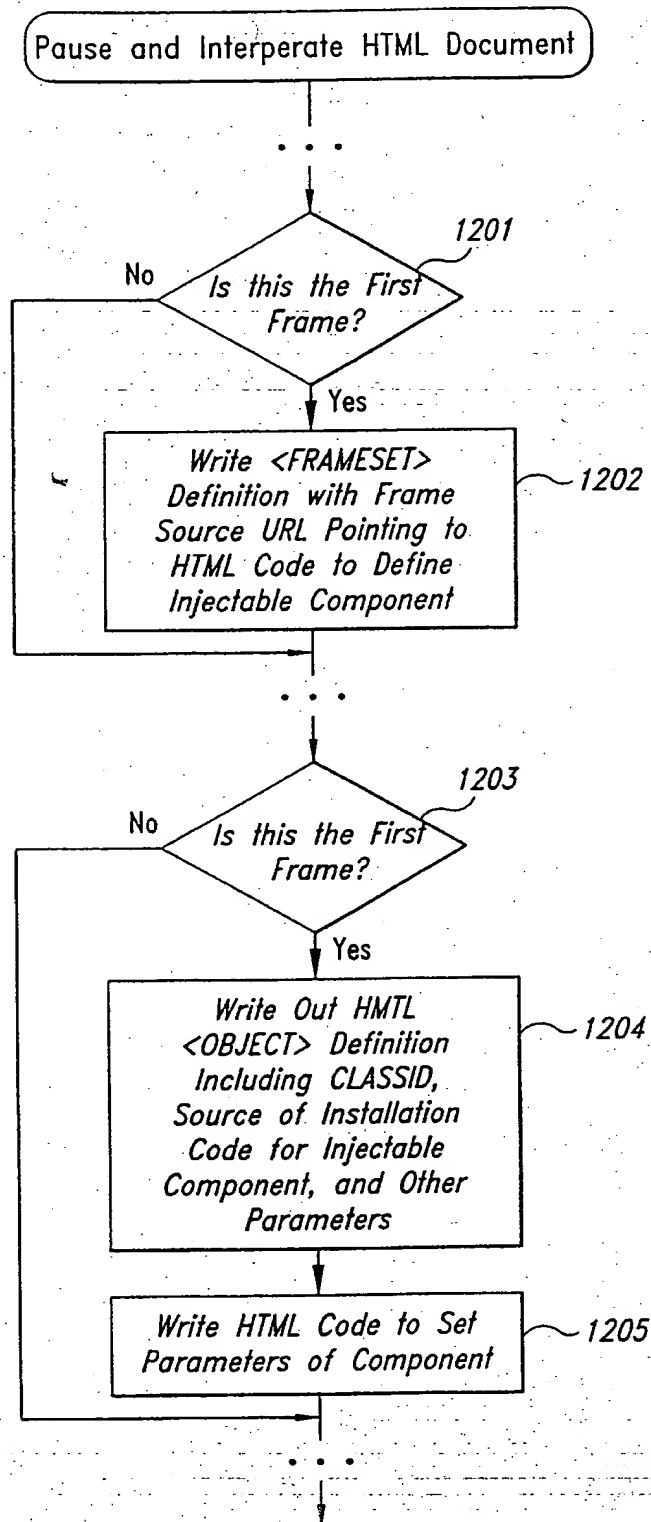


Fig. 12

13/15

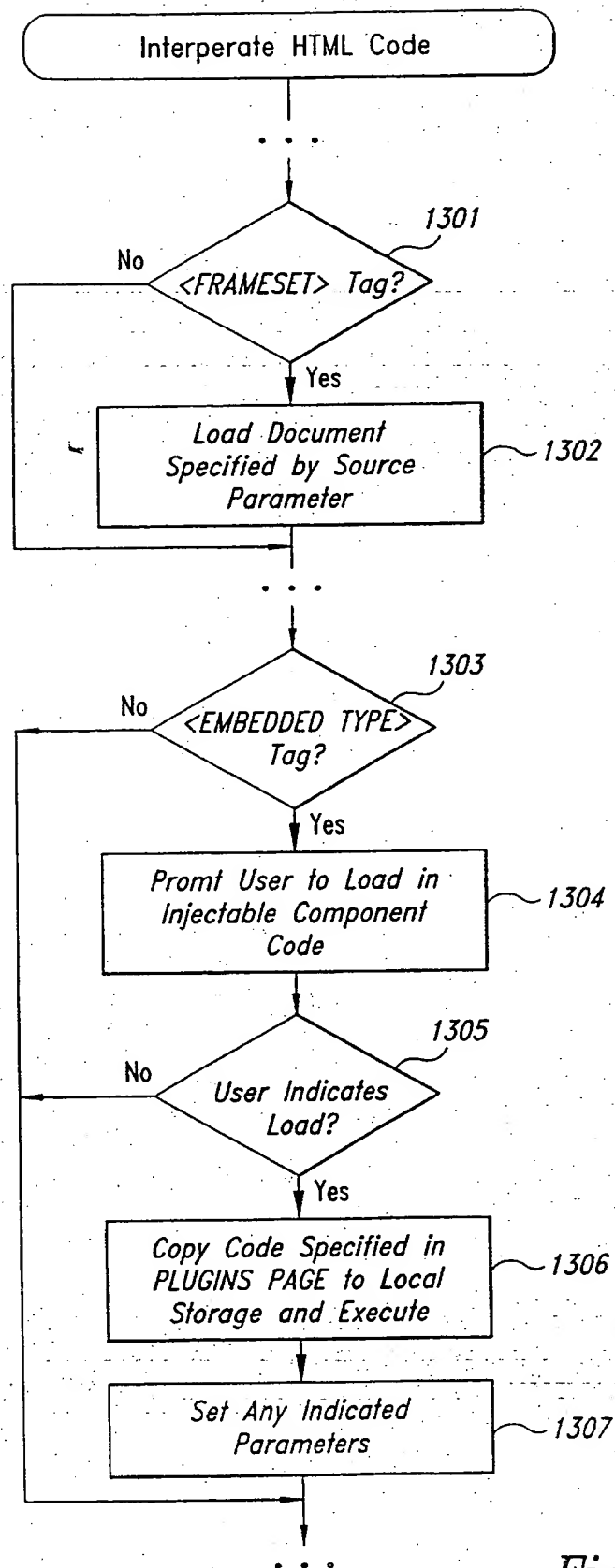


Fig. 13

14/15

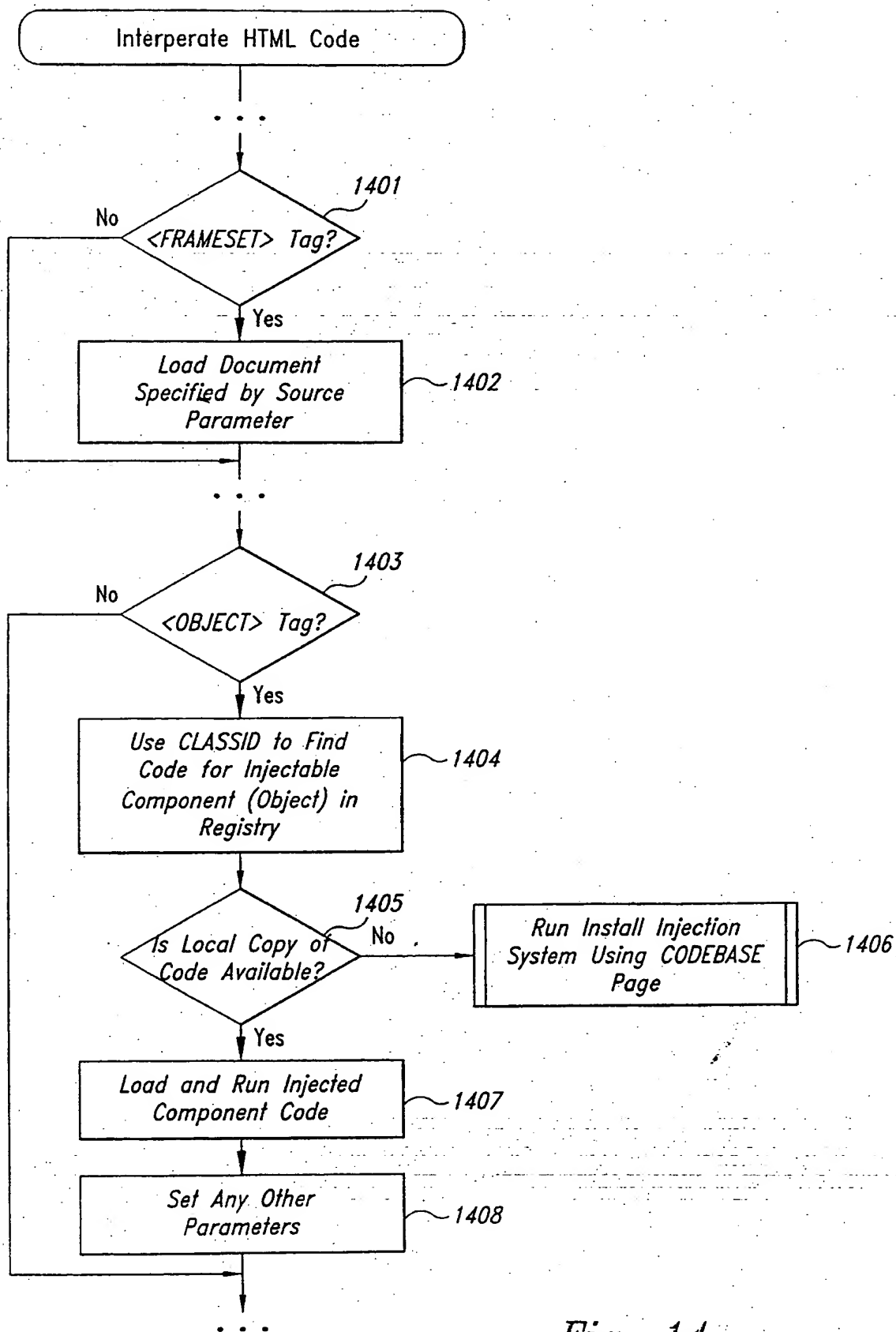


Fig. 14



15/15

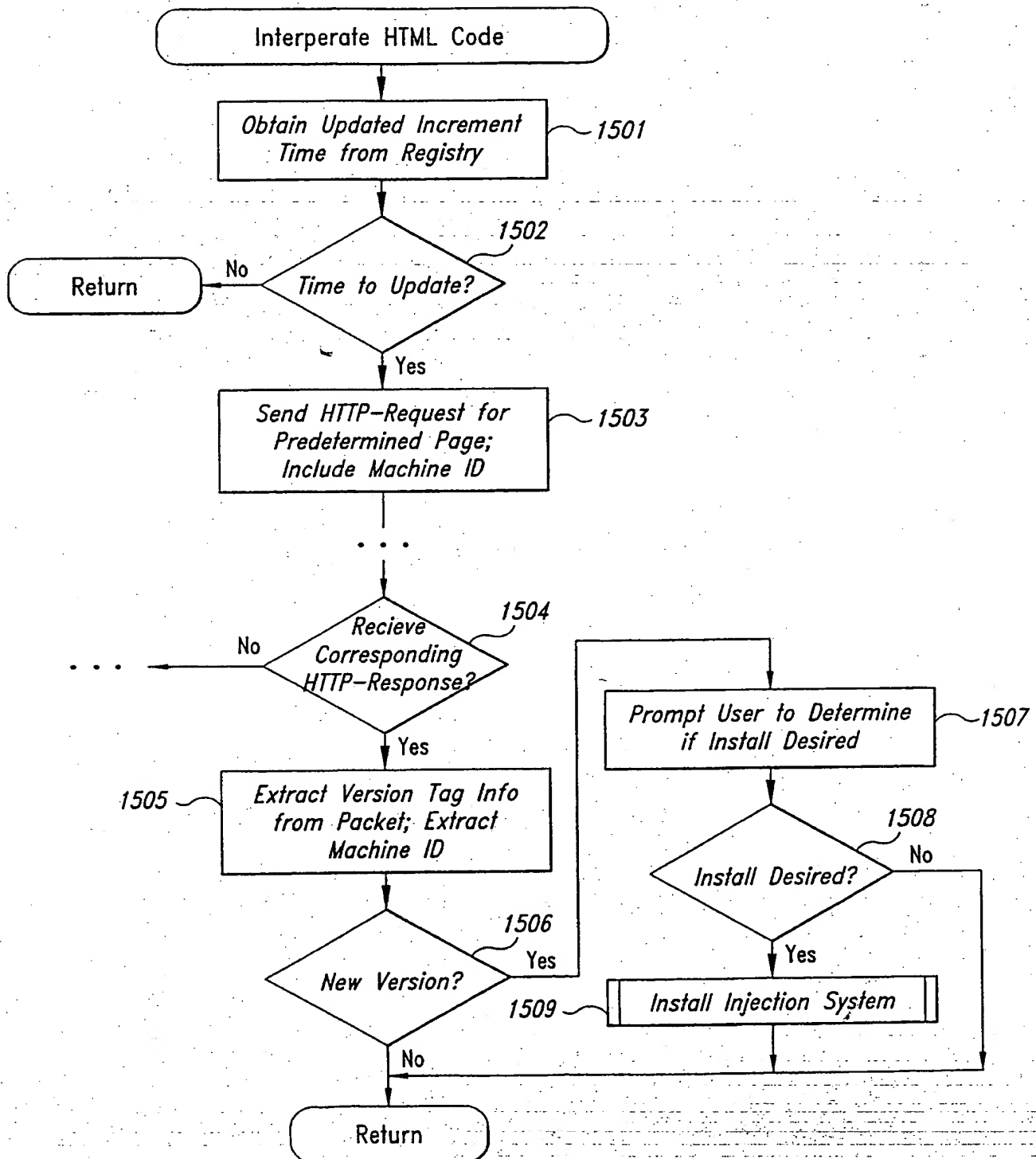


Fig. 15

# INTERNATIONAL SEARCH REPORT

In tional Application No

PCT/US 98/07096

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G06F17/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DATABASE INSPEC INSTITUTION OF ELECTRICAL ENGINEERS, STEVENAGE, GB Inspec No. AN5907402, XP002070943 see abstract & NEWSOME M. ET AL.: "Hyper SQL: web-based query interfaces for biological databases" PROC. 30TH. HAWAII INT. CONF. ON SYSTEM SCIENCES, vol. 4, 7 - 10 January 1998, WAILEA, HI, USA, pages 329-339.	1, 12, 25, 33
A	EP 0 762 297 A (SUN MICROSYSTEMS INC) 12 March 1997 see abstract; figure 1	1, 12, 25, 33

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

9 July 1998

29/07/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Deane, E